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Applying a Pix2Pix Generative Adversarial Network to a Fourier-domain Optical Coherence Tomography System for Artifact Elimination

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ABSTRACT The presence of artifacts, including conjugate, DC, and auto-correlation artifacts, is a critical limitation of Fourier-domain optical coherence tomography (FD-OCT). Many methods have been proposed to resolve this problem to obtain high-quality images. Furthermore, the development of deep learning has resulted in many prospective advancements in the medical field; image-to-image translation by using generative adversarial networks (GANs) is one such advancement. In this study, we propose applying the Pix2Pix GAN to eliminate artifacts from FD-OCT images. The first experiment results showed that the proposed framework could translate conventional FD-OCT depth profiles into artifact-free FD-OCT depth profiles. In addition, the FD-OCT depth profile and optical distance of translated images matched those of ground truth images. Second experiment verified that the proposed GAN-based FD-OCT can be applied to generate artifact-free FD-OCT image with different parameters of sample refractive index, the front surface of the sample toward the zero-delay position, and the physical thickness of the sample. Third experiment proved that the proposed model could translated the conventional FD-OCT depth profiles with additional Gaussian noises source image into artifacts-free FD-OCT and successfully relieved the noise.

INDEX TERMS Artifacts, FD-OCT, image-to-image translation, Pix2Pix GAN

I. INTRODUCTION

Optical coherence tomography (OCT) is an optical imaging modality used to obtain high-resolution cross-sectional tomographic images of the internal microstructures of materials and biological systems. OCT is a noninvasive imaging modality that produces images by using backscattered or back-reflected light. Compared with conventional ultrasound, it can provide higher-resolution images at higher magnitudes and has been widely used for diagnosing ocular diseases. In general, OCT can be classified into two categories: time-domain OCT (TD-OCT) and Fourier-domain OCT (FD-OCT). In FD-OCT, as the signal-to-noise ratio increases in proportional to the number of detection elements, the imaging speed and sensitivity considerably increase significantly. Nevertheless, in a traditional FD-OCT system, since the acquired interferometric signal only represents the real component of a complex waveform, the complex conjugate mirror image is symmetrical to the zero-delay depth. Consequently, because

the FD-OCT system is more sensitive around the zero-delay line, imaging is performed by positioning the zero-delay line at the region of interest in a sample to obtain double-depth range images. Sanjay *et al.* [1] used spectral-domain OCT (SD-OCT) to examine patients diagnosed as having glaucoma and reported that 15.2%–36% of scans showed artifacts that may cause difficulty to physicians in the analysis of images. A study identified various types of artifacts that can lead to an incorrect diagnosis [2]. Because artifacts can obscure imaging results and prevent the detection of critical features in a sample structure, full-range FD-OCT is commonly implemented using phase shifting to reconstruct the sample structure and overcome this complex artifact problem. Full-range FD-OCT images are obtained by recording several interferograms with different phase relations. Jiewen *et al.* [3] proposed a five-frame variable phase-shifting (FVP) method to reduce the effects of polychromatic errors. Compared with the traditional five-

1
2
3 frame invariant phase-shifting method, the FVP method
4 could significantly improve the quality of OCT images with a
5 factor of 1.7 for the suppression of complex conjugate
6 artifacts (CCAs). In our previous studies, we proposed
7 another method for artifact suppression that utilized
8 orthogonal polarized light for phase shifting to improve the
9 speed of image scanning and remove unwanted components
10 [4],[5]. The simulation results of our previous study showed
11 that two FD-OCT interferograms could be simultaneously
12 obtained along with orthogonal polarization components [4].
13 The benefits of this method were also reported in our further
14 study based on the experimental observation [5].
15 Furthermore, another study reported that applying an
16 orthogonal interferometer to a nondestructive dimensional
17 metrological system resulted in high speed, high precision,
18 and an ultra-long range [6]; moreover, suppression ratios of
19 80 dB for direct current (DC) and 60 dB for mirror images
20 could be achieved using this method. Using an ultra-
21 broadband light source to achieve the sinusoidal vibration of
22 a mirror, Qiukun *et al.* [7] obtained a series of spectral
23 interferograms with different phase delays that resulted in the
24 elimination of CCAs. This postprocessing method was also
25 beneficial for increasing the quality of OCT images. Gangjun
26 *et al.* [8] evaluated two methods to align interferograms
27 affected by trigger jitter to reduce residual fixed-pattern
28 noise. The first method involved using a wavenumber shift
29 (k-shift) in the interferograms of interest and searching for
30 the k-shift that minimized the fixed-pattern artifact, whereas
31 the second method involved using relative k-shift and the
32 phase information at the residual fixed-pattern noise location.
33 To remove or reduce artifacts, another study proposed a
34 postprocessing method [9] that involved using a correction
35 factor extracted from a pre-reconstructed tomogram.
36 Distinguishable morphological features of the sample surface
37 could be detected using this method.

38 FD-OCT imaging results are highly sensitive to the effects
39 of system instability and environmental noise. This limitation
40 can be resolved using the one-shot phase-shifting method
41 based on a reference wavefront tilting technique. A previous
42 study [10] proposed an achromatic phase-shifting method in
43 which a linear polarizer and a quarter-wave plate were used
44 to generate circularly polarized light in the reference arm.
45 This method could produce fringe-free OCT images in a
46 single shot. However, this method required phase calibration
47 and was hampered by wavelength dispersion effects. Another
48 study proposed an FD-OCT design with two phase-shifted
49 interference fringes that were simultaneously obtained from
50 two orthogonally polarized lights and processed using the
51 image reconstruction algorithm [11]. This proposed FD-OCT
52 design [11] could produce one-shot images by using a fixed
53 apochromatic quarter-wave retarder rather than a rotating
54 polarizer and a mechanical scan. Furthermore, in our
55 previous study, we used one-shot full-range FD-OCT that
56 had a noise elimination feature to measure the thickness and
57 refractive index of samples [12]. The proposed method in

[12] provided full-range and one-shot measurements with
twice the maximal depth position.

Deep learning (DL) has revolutionized artificial
intelligence (AI), and it has solved many complex problems
related to AI. DL models are composed of multiple layers,
where each layer is connected to its lower and upper layers
through different weights. The capability of DL models to
learn hierarchical features from various types of data makes
them useful for solving many problems, including those
encountered in medical imaging. Sripad *et al.* [13] proposed
a DL framework to denoise a single-frame OCT B-scan of an
optic nerve head (ONH) that provided the advantages of
decreased scanning times and minimal patient discomfort.
The quantitative measurements of the DL method showed an
improvement in the mean signal-to-noise ratio, mean
contrast-to-noise ratio, and mean structural similarity index.
In addition, the DL method was used to extract capillary-
level angiograms from a single OCT volume [14] and detect
retinal nerve fiber layer segmentation errors on SD-OCT
[15]. Further DL role in medical imaging was showed in [16]
for automated age-related macular degeneration (AMD)
detection by utilizing support vector machine (SVM),
AlexNet, GoogLeNet, and Inception-ResNet for AMD
detection while a block-matching and 3-Dimension filter
(BM3DF), a hybrid median filter (HMF), and an adaptive
wiener filter (AWF) were used to denoise the OCT images.
The results reported in [16] indicated that by considering the
trade-offs between the computation time and detection
accuracy, AlexNet achieves a high detection accuracy with
low computation time.

In 2014, a generative adversarial network (GAN) was
developed by Goodfellow as a deep-learning-based
generative model [17]. The GAN consists of two parts: the
generator that learns to generate plausible data, and the
discriminator that learns to distinguish the generator's fake
data from real data. In the training process, the generator
produces fake data, and the discriminator attempts to
discriminate between real and fake data. The GAN has
shown remarkable results in various tasks such as image
generation, image translation, super-resolution imaging, and
face image synthesis. Haris *et al.* [18] proposed a single
process that could be used to remove both noise and retinal
shadows from unseen single-frame B-scans within a short
time by using the GAN. Another study used GAN to remove
shadows from OCT images, thereby correcting blood vessel
shadows in the OCT images of the ONH [19]. In the absence
of clean images for training, Guo *et al.* in [20] proposed
nonlocal-GAN method for 3D OCT image denoising. The
experiment results proved the superiority of the proposed
methods compare to other denoising approaches while
retaining more useful details and clearer layer structures.
Further work proposed by Huang *et al.* in [21] with
disentangled representation GAN (DRGAN) by utilizing
noise and content disentanglement of an OCT image. The
noisy image was disentangled into content and noise spaces

by corresponding encoders, and then the generator will predict the denoised OCT image through these extracted content features. The qualitative and quantitative results from the experiments presented that the proposed method is superior to the other conventional methods. GAN also can be utilized for style transfer, namely noise adaptation GAN (NAGAN) proposed by Zhang *et al.* in [22]. In the proposed scheme, one generator and two discriminators were used for the noise style transfer. The experiment results verified that the noise styles were transferred while maintaining the contents. The application of NAGAN for OCT showed that the noise style transfer is able to improve the segmentation and classification task, both in OCT and ultrasound images, respectively. Furthermore, in a previous study [23], a GAN was implemented with conditional adversarial networks, known as cGAN, to obtain a general-purpose solution. This model can be used to solve various image translation problems.

In this study, the Pix2Pix GAN, as a component of a cGAN framework [23], was applied to the one-shot and full-range FD-OCT system to eliminate artifacts, including conjugate, DC, and auto-correlation artifacts, by using the image-to-image translation mechanism. The Pix2Pix GAN was trained to learn how to translate a conventional FD-OCT depth profile generated from inverse fast Fourier transform (IFFT) signal processing into an artifact-free FD-OCT depth profile without the requirement of complicated equipment. The proposed Pix2Pix GAN-based FD-OCT system has a simple design and relatively low cost.

The remainder of this paper is organized as follows. Section II describes the conventional FD-OCT architecture and artifact problems in the FD-OCT system along with image-to-image translation by using the Pix2Pix GAN.

Section III presents the experimental results and a discussion of them. Finally, Section IV provides concluding remarks and suggestions for future studies.

II. PIX2PIX GAN-BASED FULL-RANGE FD-OCT

In this study, we propose a simplified FD-OCT scheme by using Pix2Pix GAN-based image-to-image translation to remove unwanted artifacts.

A. Conventional full-range FD-OCT

FD-OCT systems are based on the measurement of the interference spectrum, either in space on a spectrometer or in time during the wavelength sweep of a rapidly tunable laser source. Figure 1 depicts the architecture of the conventional full-range FD-OCT system implemented in this study to create the dataset. This system consists of a super luminescent diode (SLD), a 2×2 coupler, two collimators, a reference mirror, and an optical spectrum analyzer (OSA) that are used to obtain OCT spectra. The SLD, a broadband low-coherence light source, is distributed to the sample and reference arm through the coupler. In the sample arm, the beam is directly delivered to the sample, and then scattered and reflected into a collimator. In the reference arm, the probing beam is incident on a mirror. Let parameter n_1 represents the refractive index of the sample, d_0 indicates the front surface of the sample toward the zero-delay position, and d_1 denotes the physical thickness of the sample. Light emerging from the mirror passes back to the coupler in the reference arm. Beams from the reference and sample arms are recombined in the coupler and then passed to the OSA. Subsequently, the received spectra use the IFFT method for signal processing.

The inverse Fourier transform of the intensity signal is given in [24]:

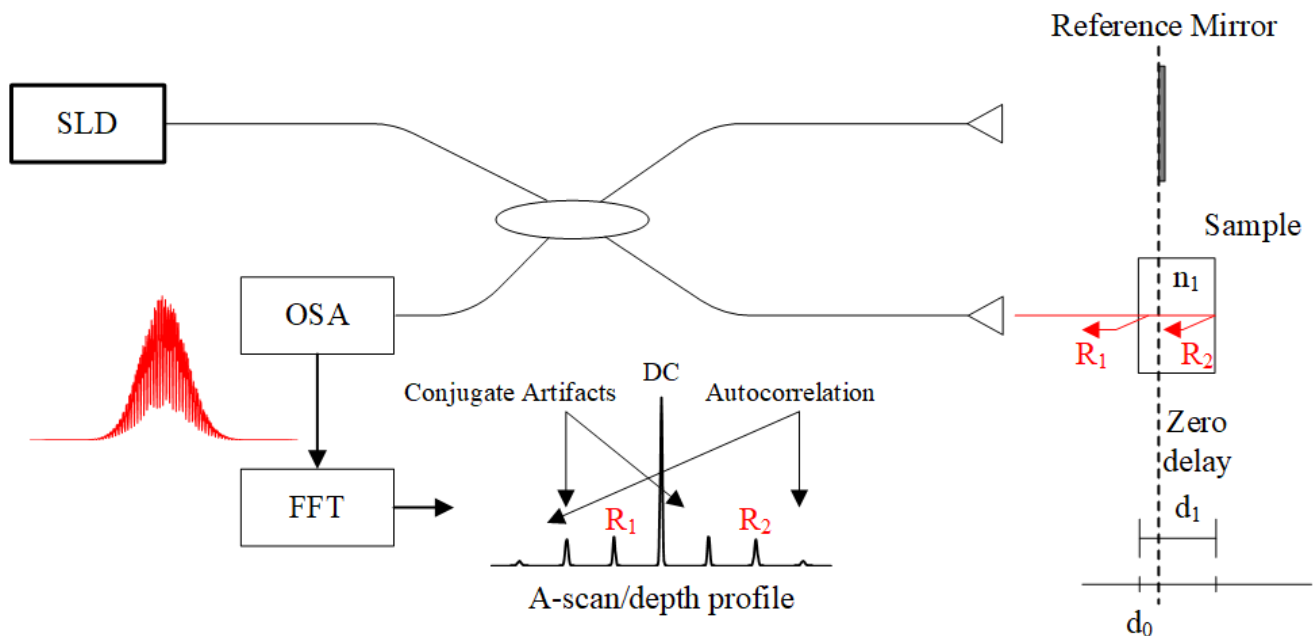


FIGURE 1. Conventional full-range FD-OCT architecture.

$$\begin{aligned}
 I_D(k) = & \frac{\rho}{8} [S(k)(R_R + R_{S1} + R_{S2} + \dots)] \\
 & + \frac{\rho}{4} \left[\sum_{n=1}^N \sqrt{R_R R_{Sn}} \{S[2(z_R - z_{Sn})]\} + \{S[-2(z_R - z_{Sn})]\} \right] \\
 & + \frac{\rho}{8} \left[\sum_{n \neq m}^N \sqrt{R_{Sn} R_{Sm}} \{S[2(z_{Sm} - z_{Sn})]\} + \{S[-2(z_{Sm} - z_{Sn})]\} \right] \quad (1)
 \end{aligned}$$

where k is the wavenumber, $I_D(k)$ is the photocurrent, ρ is the responsivity of the detector (A/W), $S(k)$ is the power spectral, z_R indicates the distance from the beam splitter or fiber coupler to the reference reflector, z_s represents the path length variable in the sample arm measured from the beam splitter, and R_R and R_S denote the power reflectivity of the reference reflector and each reflector in the sample arm, respectively. From (1), the photocurrent can be divided into three components. The first term is called the DC component, and its amplitude is proportional to the power reflectivity of the reference mirror added to the sum of sample reflectivity. The DC component has the largest amplitude of the detector current and is an artifact in OCT. The second item is the desired component of OCT, which is the cross-correlational component of each sample reflector. The last component is an autocorrelation part, which is also an artifact in OCT, caused by the interference between different sample reflectors. In addition, a conjugate artifact, also called a mirror artifact, is another type of artifact found in OCT. This artifact occurs because the detected interferometric spectrum is real, whereas inverse Fourier transform of the spectral shows Hermitian symmetry. In other words, its positive and negative distances are the complex conjugates of each other. Therefore, if they are real, they must be identical [24].

In OCT systems, the axial resolution is determined by the coherence length of the light source and defined using the Rayleigh criterion. The axial resolution of the OCT system can be expressed as follows:

$$\Delta z = \frac{l_c}{2} = 0.44 \frac{\lambda_0^2}{\Delta \lambda} \quad (2)$$

where l_c is the coherence length of the light source, λ_0 is the central wavelength of the light source, and $\Delta \lambda$ is the bandwidth.

The maximal depth position Z_{\max} was determined according to the OSA wavelength resolution and is given as follows:

$$Z_{\max} = \frac{1}{4} \cdot \frac{\lambda_0^2}{\delta \lambda} \quad (3)$$

where $\delta \lambda$ is the OSA wavelength resolution.

Assume that the central wavelength of the light source is 1550 nm and the full width at half maximum of the spectrum is 20 nm. By using (2), the value of axial resolution can be calculated as approximately 52.86 μm .

B. Pix2Pix GAN-based FD-OCT

To eliminate these artifacts by using simple and cost-effective methods, we propose applying the Pix2Pix GAN to the FD-OCT system to obtain an artifact-free FD-OCT depth profile, as shown in Figure 2. The Pix2Pix GAN is a general approach for image-to-image translation. It is a type of a conditional GAN, where the generation of the output image is conditional on the input image [25]. Compared with other GAN models, the conditional GAN has the capability of generating large high-quality images for a variety of image translation tasks. Therefore, the Pix2Pix GAN has been widely used to train a deep convolutional neural network and generates data that is similar to real data.

Table I presents the devices required for the conventional FD-OCT method proposed in our previous work [5] and the proposed Pix2Pix GAN-based FD-OCT. The conventional FD-OCT method consist of two polarization plates, one beam splitter, one quarter-wave plate, two mirrors, and two collimators. Meanwhile, the proposed Pix2Pix GAN-based FD-OCT is computationally inexpensive and can be run on standard computers without the need of complex and expensive optical equipment, verified the cost-effective feature of the proposed system. The software used in the proposed system is the open-source framework.

TABLE I
DEVICES COMPARISON

Devices	Method	
	Conventional Full-Range FD-OCT	Pix2Pix GAN-Based FD-OCT
Hardware	Polarization Plate	Standard Computer
	Beam Splitter	GPU with 11 GB of
	Quarter-Wave Plate	DRAM
	Mirror	
	Collimator	
Software	-	Open-Source Python Open-Source TensorFlow

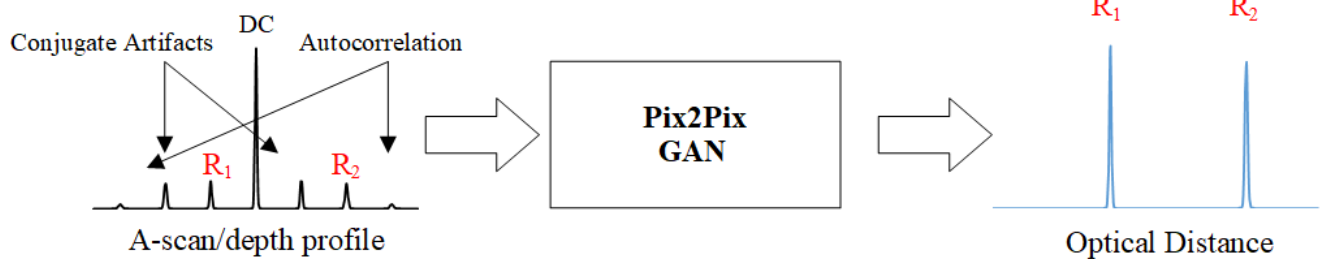


FIGURE 2. Pix2Pix GAN-based FD-OCT system.

The dataset used for training the Pix2Pix GAN was generated by implementing conventional full-range FD-OCT and contained many pairs of images. Each pair of images included an original image and its expected transformed result. In other words, we used the Pix2Pix GAN to translate a conventional FD-OCT depth profile into an artifact-free FD-OCT depth profile. First, the conventional FD-OCT depth profiles were generated using (1) with different parameters. Subsequently, the corresponding artifact-free FD-OCT depth profiles were obtained using the phase-shifting algorithm proposed in our previous study [4]. However, as shown in Figure 3 (a) and (c), these conventional and artifact-free FD-OCT depth

profiles were all contained one-dimensional (1D) data, which could not be directly fed to the Pix2Pix GAN. To solve this problem, without the loss of generality, we expanded these 1D FD-OCT depth profiles into two-dimensional (2D) images by duplicating original 1D data. Assume that the size of the conventional (or artifact-free) FD-OCT depth profile is $1 \times N$, where N denotes sampling points. Subsequently, raw data were copied and expanded to an $N \times N$ FD-OCT image (i.e., Figure 3 (b) and (d)). In addition, the brightness of lines inside the corresponding 2D image was proportional to the intensity of the FD-OCT depth profile.

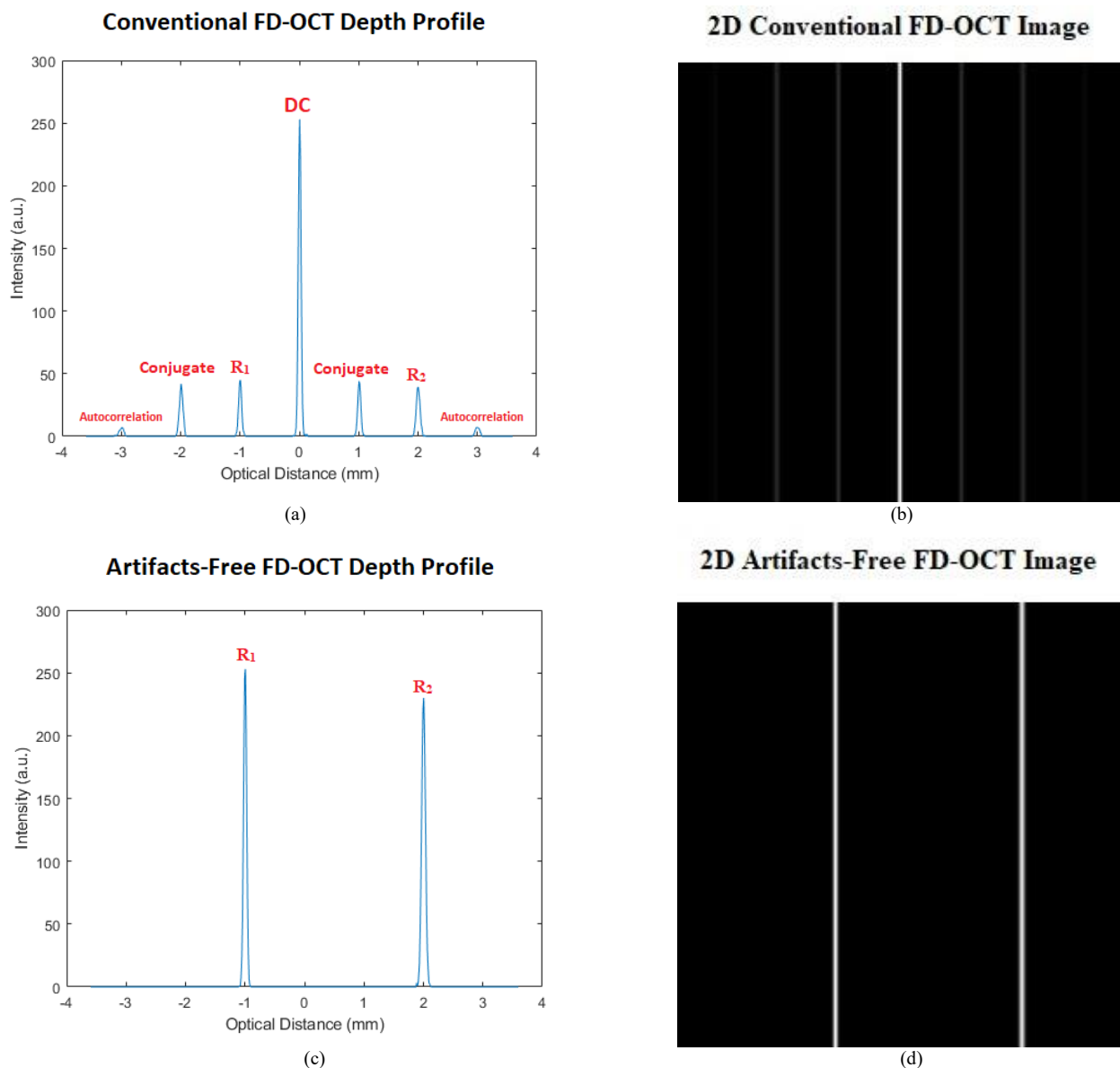


FIGURE 3. Sample of the FD-OCT dataset. (a) Conventional FD-OCT depth profile (b) 2D conventional FD-OCT image (c) Artifact-free FD-OCT depth profile (d) 2D artifact-free FD-OCT image.

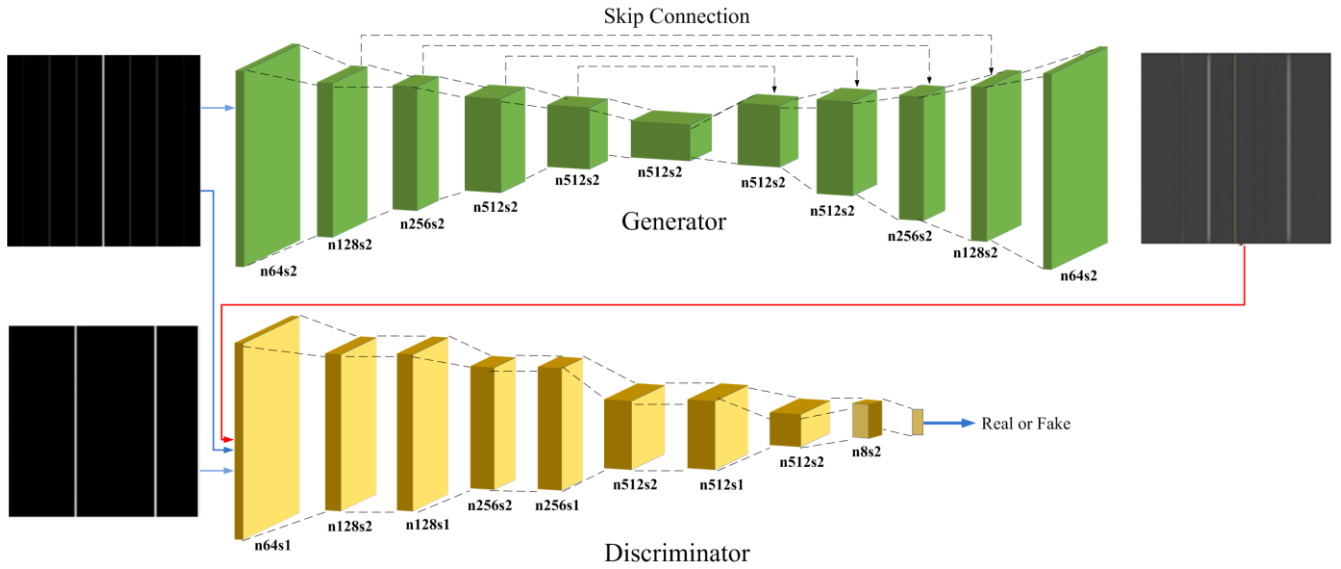


FIGURE 4. Pix2Pix GAN architecture.

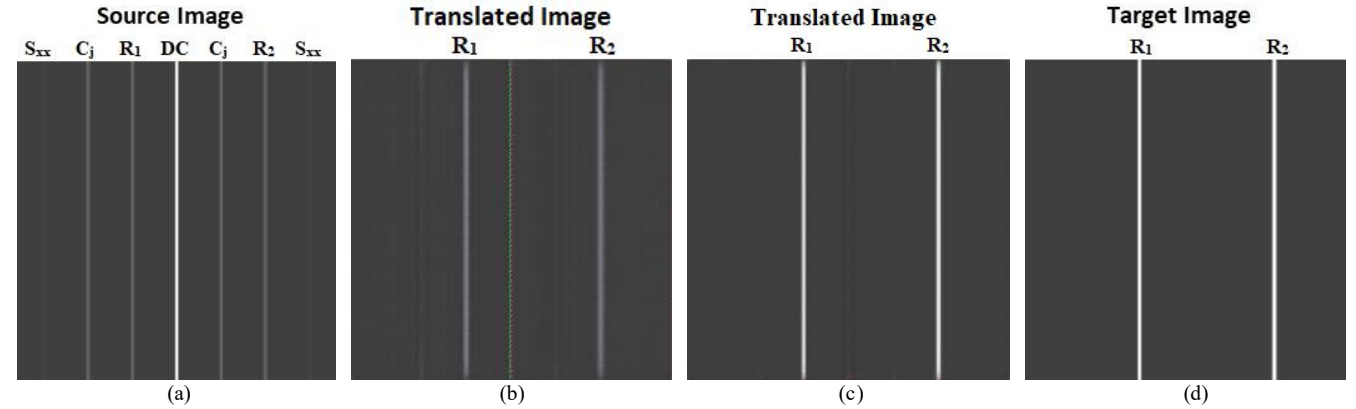


FIGURE 5. Image translation results for Pix2Pix GAN-based FD-OCT.

Figure 4 shows the Pix2Pix GAN architecture used in this study where n denotes the batch size and s is the stride. The discriminator was based on a PatchGAN model, which classified patches of an image as real or fake by outputting a matrix of values as the output instead of a single value. The advantage of using this model was that it provided sharp high-frequency details and the number of parameters could also be reduced. Source and target images (i.e., the 2D conventional and artifact-free FD-OCT images, respectively) were provided to the discriminator to determine whether the target was real or fake.

The generator had a U-shaped network architecture, which added skipped connections between each central symmetric layer to prevent the loss of small information. The generator was used an encoder–decoder network composed of the standardized blocks of convolutional, batch normalization, dropout, and activation layers. The generator was trained through adversarial loss and updated through $L1$ loss that was measured between the generated image and the expected output image. This additional loss drove the generator model to create plausible translations of the source image. Overall,

the generator was updated through a weighted sum of both adversarial and $L1$ losses. To achieve this simultaneous training, the logical or composite model was used to stack the generator on top of the discriminator. A source image was provided as the input to the generator and discriminator. In addition, the output of the generator was provided to the discriminator as the plausible image. The discriminator then predicted the likelihood of an image being real or fake, thus translating the source image. The objective of the proposed model is expressed as follows [19]:

$$L_{GAN}(G, D) = E_{x,y} [\log D(x, y)] + E_x [\log (1 - D(x, G(x)))] \quad (4)$$

where x is the source image, and y is the corresponding target image, set as the ground truth for x . In (4), the generator G attempted to minimize this objective in response to an adversarial discriminator D that attempted to maximize it, where the results are optimized as follows:

$$G^* = \arg \min_G \max_D L_{GAN}(G, D) \quad (5)$$

where G^* is the resulting optimized generator.

The $L1$ loss from the generated and expected output images can be evaluated as follows:

$$L1(G) = E_{x,y} [\|y - G(x)\|_1] \quad (6)$$

The final objective of the proposed model can be denoted as follows:

$$G^* = \arg \min_G \max_D L_{GAN}(G, D) + \lambda L1(G) \quad (7)$$

To train the discriminator, batches of real and fake images are required. The corresponding discriminator of the real image is given the label of class = 1 to indicate that they are real, whereas the corresponding discriminator of the fake image is given the label of class = 0 to indicate that they are fake.

In this experiment, we used the 2D FD-OCT image dataset for both training and validation. The objective of image translation was to convert 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. Both the training and validation datasets contained 1,000 images each. Both 2D conventional FD-OCT images and 2D artifact-free FD-OCT images were in JPEG format with an image being 600 pixels wide and 600 pixels high.

The model was trained using the Keras DL framework on a personal workstation with an NVIDIA GeForce RTX 2080 Ti graphics processing unit with 11 GB of memory. Each image was loaded and paired between a 2D conventional FD-OCT image and a 2D artifact-free FD-OCT image. For computational purposes, the datasets were downsampled to images that were 256 pixels wide and 256 pixels high. The arrays of the datasets were saved in compressed NumPy array format. The discriminator model was optimized using the Adam optimizer with a learning rate of 0.0002 and a beta of 0.5 and by implementing the binary cross entropy loss function. The generator model applied different activation functions for the encoder and decoder network. Because small (negative) value will be induced in the down-sampling model, Leaky ReLU was used in the encoder network (down-sampling) to prevent the “dead ReLU” problem. However, for the decoder network (up-sampling), RELU activation function still work well and have the advantages of faster performance and introduce more non-linearity [17], [26]. For the output layer, tanh activation function was implemented to normalized the output in the range of [-1,1] since the range of the brightness/value of each pixel of real image should be within [-1,1]. For weight initialization, a random normal with a standard deviation of 0.02 was applied [27].

GAN models typically do not converge; therefore, an equilibrium was found between the generator and discriminator models; that is, the image quality was used to choose the best model. To examine the quality of the translated image, the model was saved to an *H5* formatted file every 10 training epochs and used later to generate image-to-image translations. The total number of epochs was set to 100. The results may have varied due to the stochastic nature of the algorithm in terms of differences in the numerical precision.

Artifacts-Free FD-OCT Depth Profile

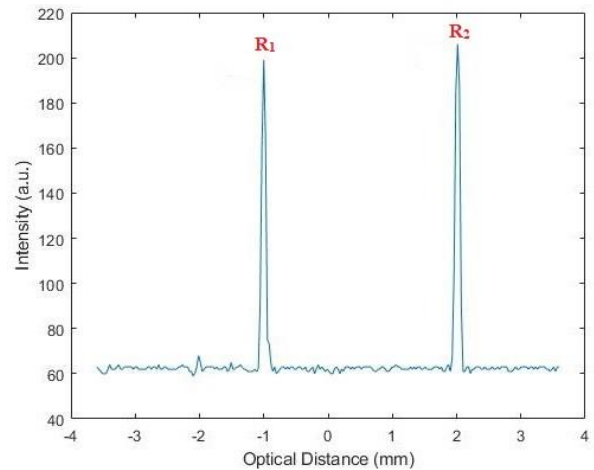


FIGURE 6. The 1D artifact-free FD-OCT depth profile after 100 epochs.

III. RESULTS AND DISCUSSION

In this section, we present the experimental results of the proposed Pix2Pix-GAN based FD-OCT model. The model was trained with training dataset of 1,000 images. Test images were chosen randomly from the validation dataset with 1,000 images. The results were observed every 10 epochs by using the saved model to generate translated images. Three experiments were conducted with the trained model.

First experiment aims to prove the feasibility of the Pix2Pix GAN-based FD-OCT. Figure 5 displays the source, translated, and target images (i.e., the ground truth image) of FD-OCT, respectively. The indices of S_{xx} , C_j , DC , R_1 and R_2 indicate the auto-correlation artifact, conjugate artifact, DC artifact, first and second peaks of FD-OCT, respectively. The source, translated, and target images denote the 2D conventional FD-OCT image, 2D artifact-free FD-OCT image produced using the Pix2Pix GAN, and real 2D artifact-free FD-OCT image, respectively. Our purpose was to translate 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. From the translated images, we observed that the proposed model could translate the input FD-OCT image into the desired result. The FD-OCT depth profile of the translated image was the same as that of the target image. Figure 5 (b) reveals that some background noise was still present after 10 training epochs. However, after 100 training epochs, the translated image was clearer and approaching the target image, as shown in Figure 5 (c).

To verify the optical distance of the FD-OCT image, we reduced the dimension of the translated image to obtain the corresponding 1D FD-OCT depth profile, as shown in Figure 6. The first and second peaks of the FD-OCT depth profile (R_1 and R_2) obtained from the translated image matched those of the FD-OCT depth profile obtained from the target image (i.e., -1.0007 and 2.0155, respectively). Note that since the Pix2Pix-GAN generates image in RGB mode, the black color

TABLE II
OPTICAL DISTANCE OF FD-OCT

	Optical Distance (mm)	
	R_1	R_2
Translated Image	-1.0007	2.0155
Target Image	-1.0007	2.0155

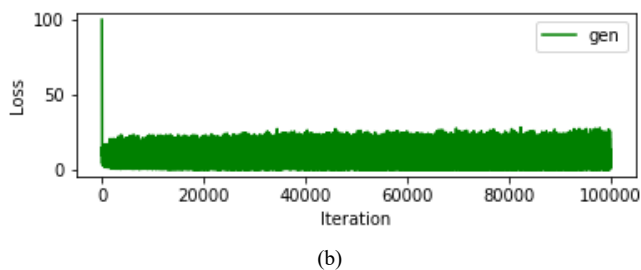
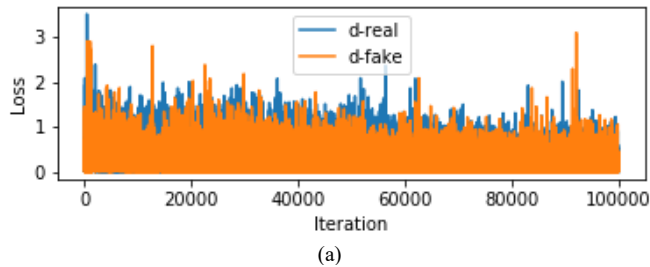


FIGURE 7. Loss of GAN-based FD-OCT system. (a) Discriminator loss (b) Generator loss.

TABLE III
FD-OCT IMAGE PARAMETER

	Type	
	A · C	B · D
n_1	1.5	2.5
d_0	-500 μm	-500 μm
d_1	2,000 μm	1,200 μm

of the translated image is not truly black, cause an average bias about 60 in the intensity. Table II lists the optical distances of R_1 and R_2 for both translated and target images.

The performance of the discriminator and generator models was recorded for each iteration. Figure 7 shows the discriminator loss for real images (blue), discriminator loss for generated fake images (orange), and the generator loss for generated fake images (green) for 100,000 iterations. Discriminator loss for real and fake samples is about the same at around 1.0 and loss for the generator is higher. This result indicates the stable GAN with normal loss during the training process.

Further experiments are conducted without re-train the network and used the same weight obtained from previous training. The objective of image translation is to convert 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. Four types of images are constructed by using the hyper-parameters in Table III and their specifications are described as follows:

1. Type A: one of the 2D conventional FD-OCT images from the validation dataset.

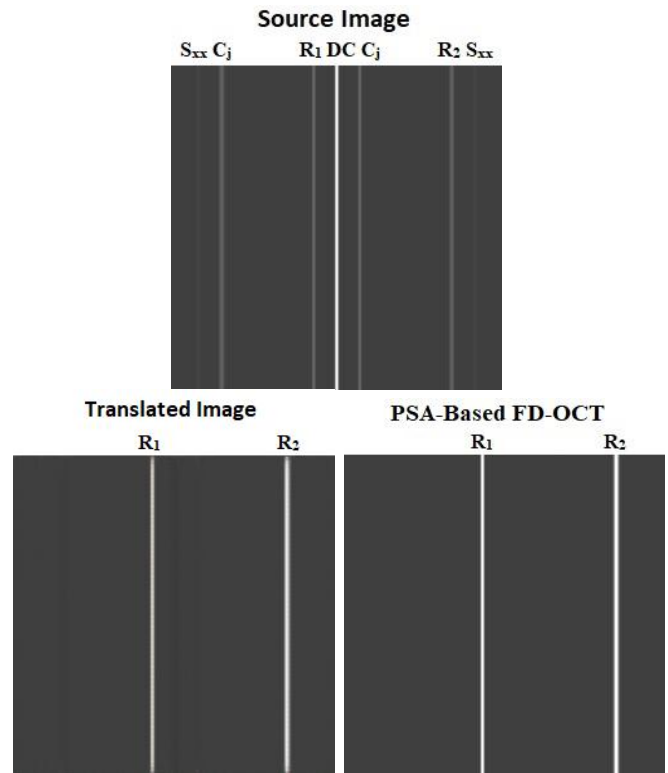


FIGURE 8. The target image and translated results for 2D conventional FD-OCT image of type A source image.

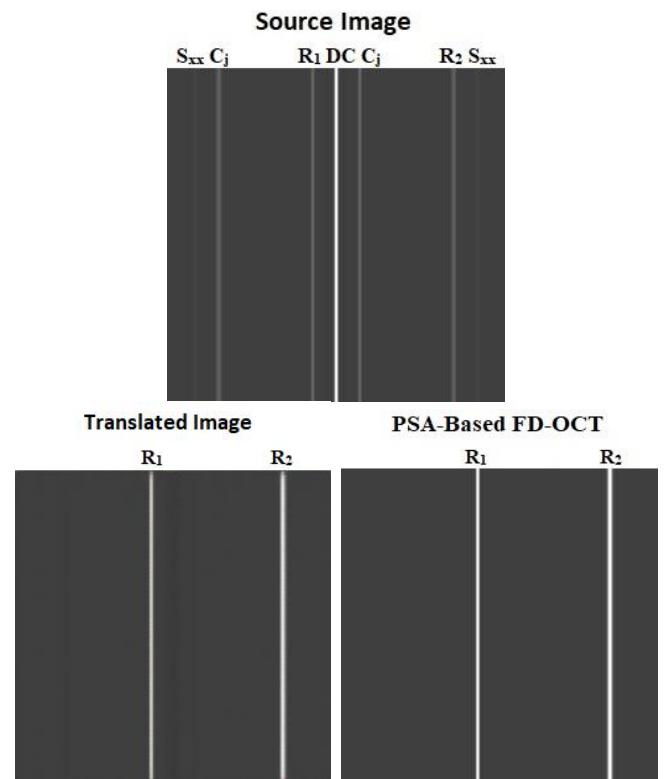


FIGURE 9. The target image and translated results for 2D conventional FD-OCT image of type B source image.

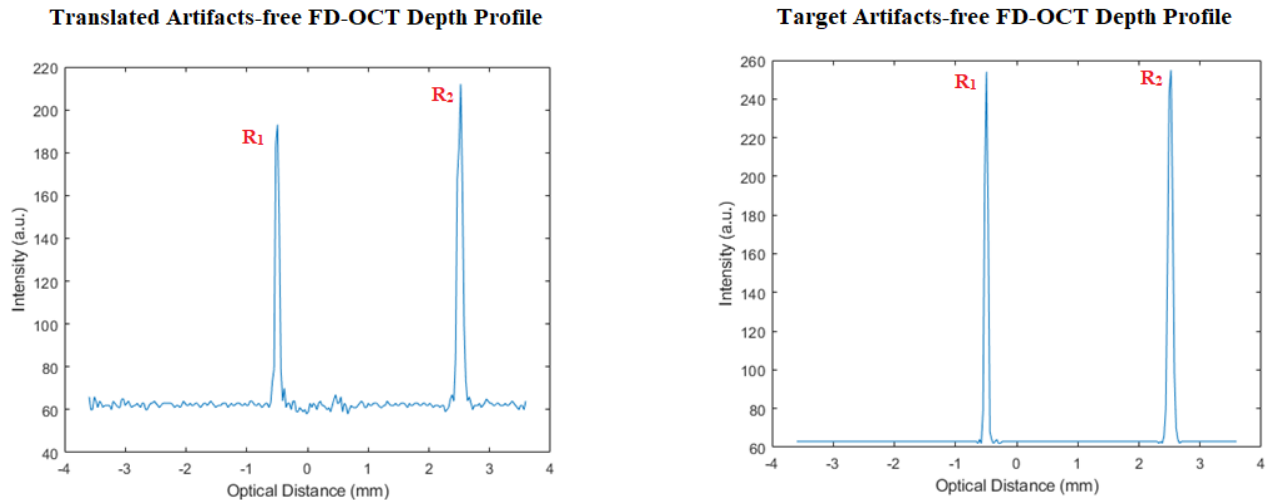


FIGURE 10. The 1D translated and target artifacts-free FD-OCT depth profiles of type A image.

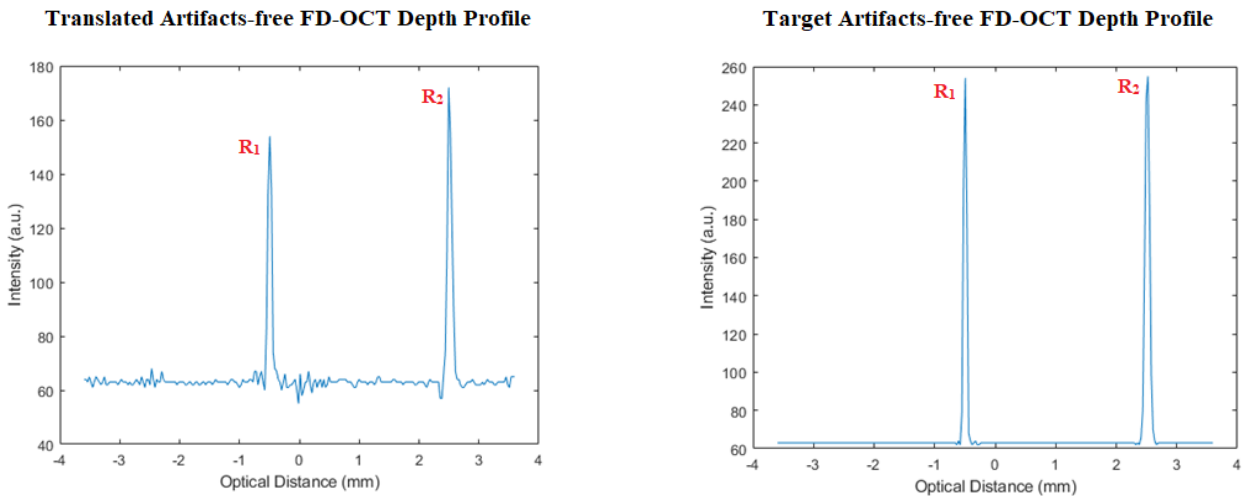


FIGURE 11. The 1D translated and target artifacts-free FD-OCT depth profiles of type B image.

2. Type B: a 2D conventional FD-OCT image, which possess the same d_0 with type A, whereas n_1 and d_1 are different.
3. Type C: the hyper-parameters are the same as type A, but random Gaussian noise with SNR of 0 (or 3 dB) is added on the power spectra from OSA.
4. Type D: the image is constructed by adding random Gaussian noise on the power spectra from OSA with SNR of 0 dB (or 3 dB) to type B image.

Note that all these four types of images are not included in the training dataset.

Second experiment applied the images of types A and B to verify the capability of the proposed Pix2Pix GAN-based FD-OCT model in reconstructing 2D artifact-free FD-OCT images of unknown 2D conventional FD-OCT images. Note that we also utilized the phase-shift algorithm (PSA) in [4] to reconstruct these test images for comparison. Since these

images are not included in the training dataset, to avoid confusion, these images are names as PSA-based FD-OCT images in the rest of this study.

Figures 8 and 9 show the target image and translated results for 2D conventional FD-OCT image of types A and B, respectively. It has been shown that the model could translate the source image into a 2D artifact-free FD-OCT image, which is the same as the PSA-based FD-OCT image. Moreover, to confirm the optical distance of the translated image, these 2D images are converted to the 1D FD-OCT depth profiles by reducing the dimension. Figures 10 and 11 show the 1D translated and target artifacts-free FD-OCT depth profiles of types A and B, respectively.

For type A, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image (-0.4933 and 2.5228), respectively. Meanwhile, for type B, the first peak (R_1) and second peak

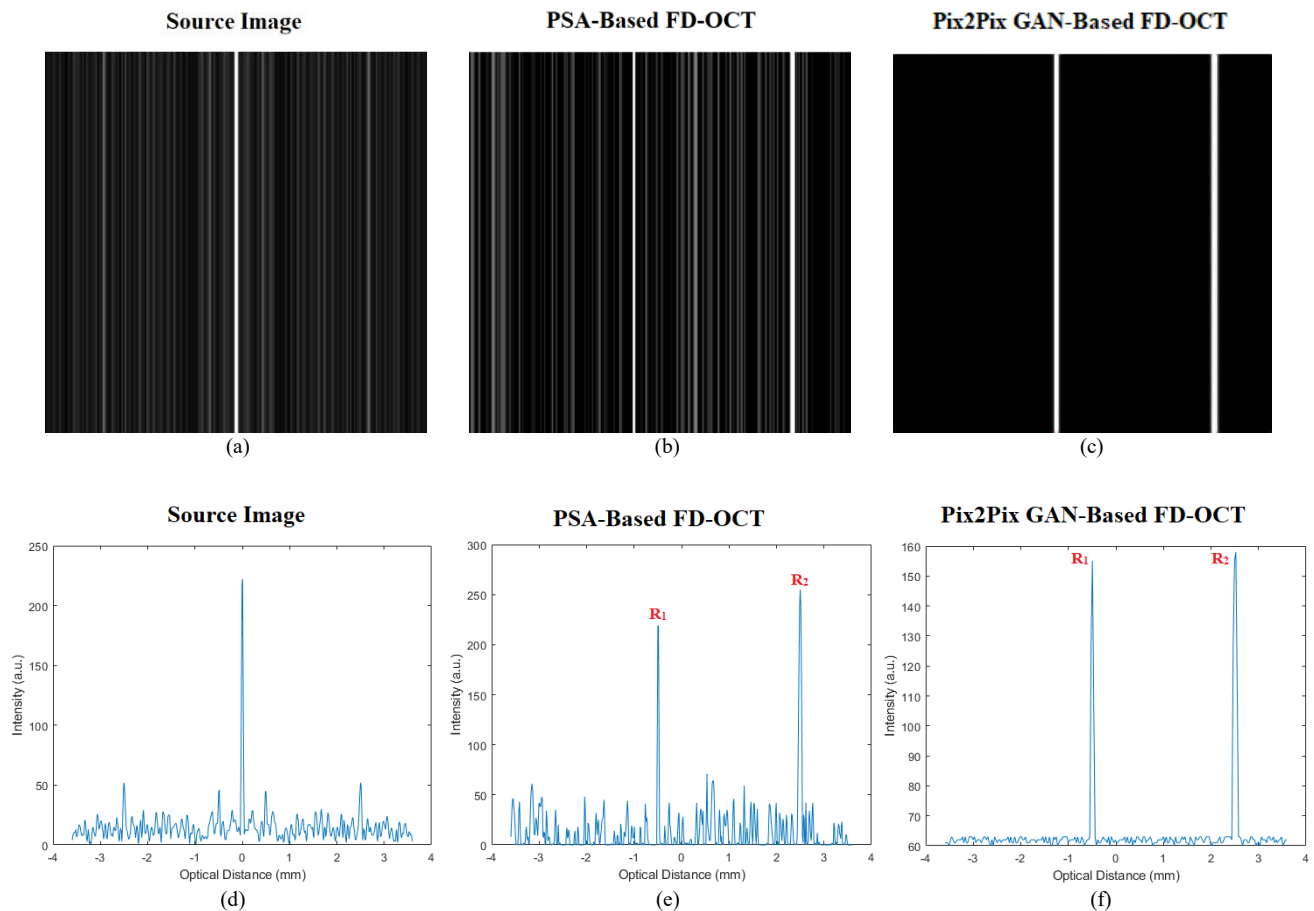


FIGURE 12. The 1D FD-OCT depth profiles and 2D images of the type C source images of SNR 0 dB, PSA-based FD-OCT image, and Pix2Pix GAN-based FD-OCT image.

TABLE IV
FD-OCT OPTICAL DISTANCE COMPARISON

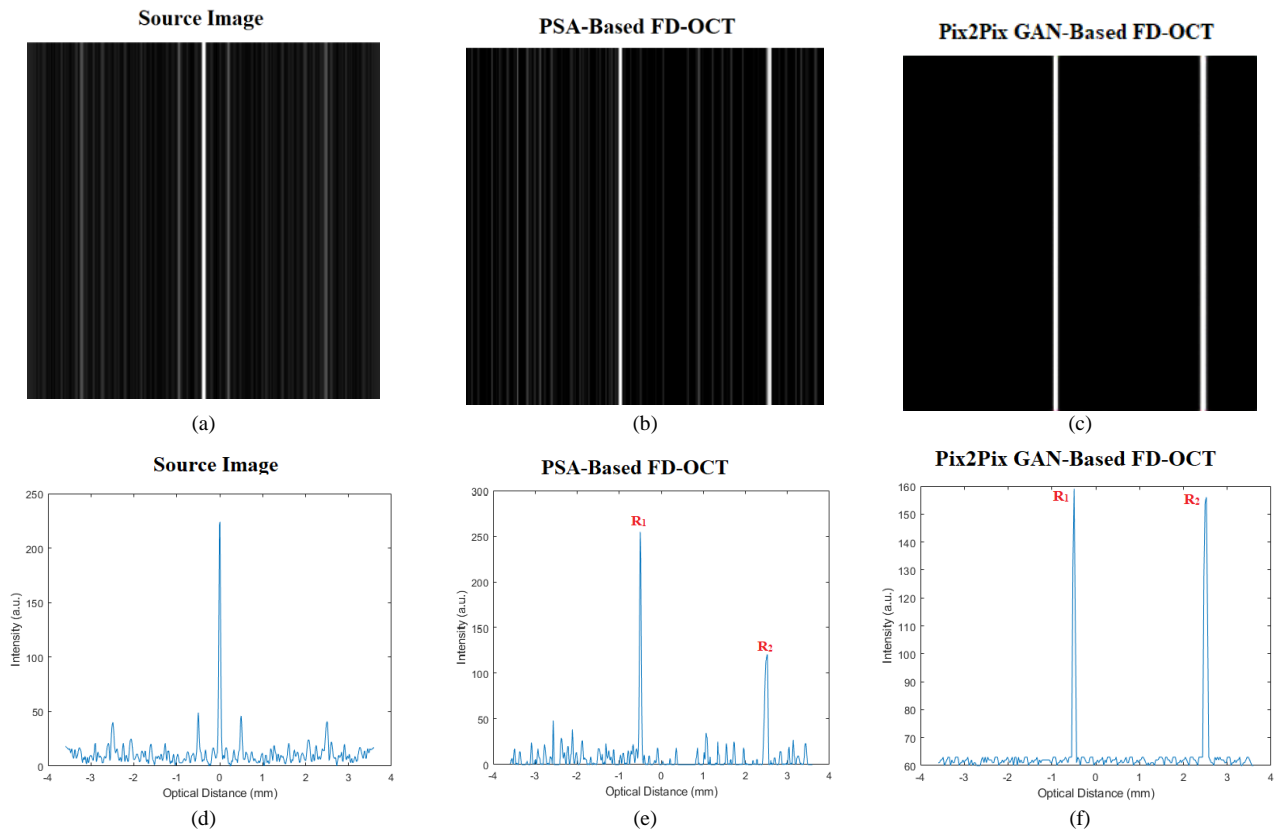
	Optical Distance (mm)	
	R_1	R_2
Type A		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228
Type B		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.4947

(R_2) of the FD-OCT depth profile from the translated image matched those of the target image, (-0.4933 and 2.4947), respectively. The second peak represented a small error but was in the acceptable range. The optical distance of R_1 and R_2 both for translated and target images are shown in Table IV.

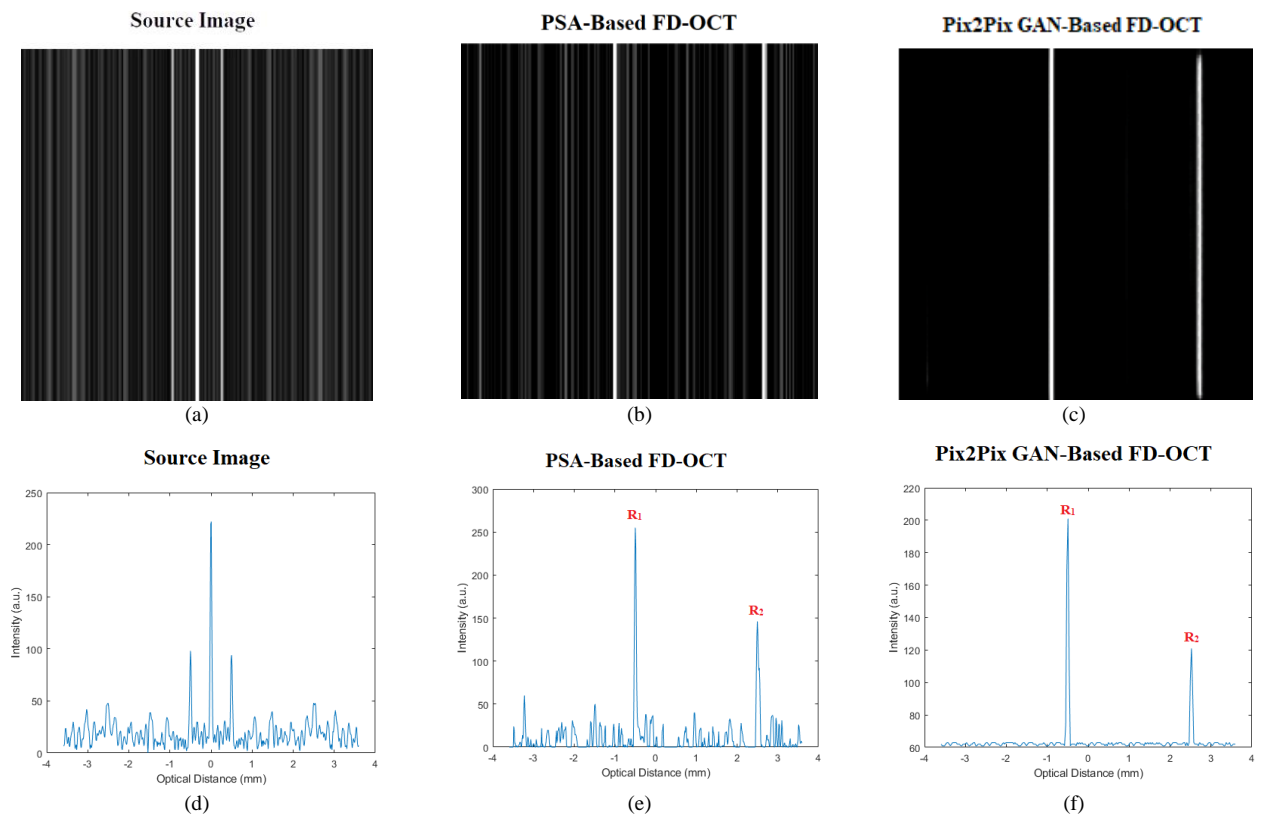
In order to prove that the proposed Pix2Pix GAN-based FD-OCT can eliminate the artifacts of FD-OCT image with more complex noisy, the third experiment used the images from the validation images of types C and D.

Figure 12 presents the 1D FD-OCT depth profiles and 2D images of the type C source image of SNR 0 dB, PSA-based FD-OCT image and Pix2Pix GAN-based FD-OCT image,

respectively. As shown in Figure 12 (a) and (d), it can be observed that there are a lot of noises in the source image, except the artifacts. Figure 12 (b) and (e) depict the results of PSA-based FD-OCT, where noises still exist expect for the peaks of FD-OCT. Further, Figure 12 (c) and (f) show the translated image using the Pix2Pix GAN-based FD-OCT method. It has been shown that the artifacts and noises are almost eliminated, and only an acceptable small noise-floor remains in the image. Figure 13 depicts the 1D FD-OCT depth profiles and 2D images of the type C source image of SNR 3 dB, PSA-based FD-OCT image and Pix2Pix GAN-based FD-OCT image, respectively. From Figure 13 (a) and (d), we can observe that much noises appear in the source image. Figure 13 (b) and (e) display the results of PSA-based FD-OCT, which still have noises in addition to the FD-OCT peaks. Figure 13 (c) and (f) present the translated image using the Pix2Pix GAN-based FD-OCT method, where artifacts are removed and only a low acceptable noise-floor remains. These results verify that the proposed Pix2Pix GAN-based FD-OCT can effectively remove the artifacts and other noises in the resulted artifacts-free FD-OCT image. Compare to the PSA in [4], the proposed Pix2Pix GAN-based FD-OCT achieves superior results.



29 **FIGURE 13.** The 1D FD-OCT depth profiles and 2D images of the type C source images of SNR 3 dB, PSA-based FD-OCT image, and Pix2Pix
30 GAN-based FD-OCT image.



56 **FIGURE 14.** The 1D FD-OCT depth profiles and 2D images of the type D source images of SNR 0 dB, PSA-based FD-OCT image, and Pix2Pix
57 GAN-based FD-OCT image.

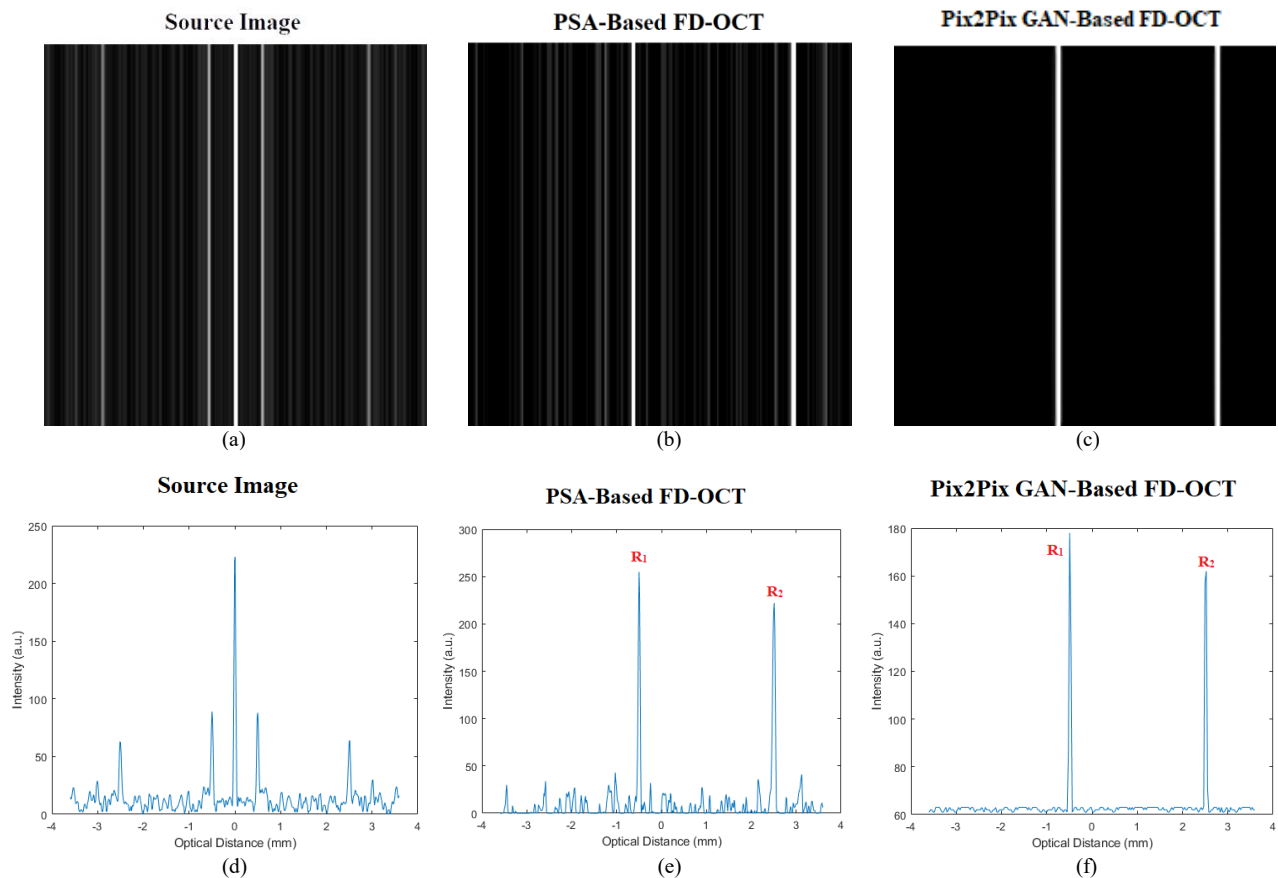


FIGURE 15. The 1D FD-OCT depth profiles and 2D images of the type D source images of SNR 3 dB, PSA-based FD-OCT image, and Pix2Pix GAN-based FD-OCT image.

TABLE V
FD-OCT OPTICAL DISTANCE COMPARISON

	Optical Distance (mm)	
	R_1	R_2
Type C 0 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228
Type C 3 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228

Table V presents the optical distance of R_1 and R_2 for type C source image of SNR 0 dB (or 3 dB) reconstructing by using PSA-based FD-OCT and Pix2Pix GAN-based FD-OCT method, respectively. It can be observed that the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile reconstructed by the Pix2Pix GAN-based FD-OCT are the same as that of PSA-based FD-OCT.

Figures 14 and 15 depict the 1D FD-OCT depth profiles and 2D images of the type D source images of SNR 0 dB and 3 dB, PSA-based FD-OCT image and Pix2Pix GAN-based FD-OCT image, respectively. It has been shown that a lot of noises are accompanied with the source image. The PSA-based FD-OCT can remove the artifacts, but still much

TABLE VI
FD-OCT OPTICAL DISTANCE COMPARISON

	Optical Distance (mm)	
	R_1	R_2
Type D 0 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228
Type D 3 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228

noises exist except for the FD-OCT peaks. In addition, the proposed Pix2Pix GAN-based FD-OCT can eliminate the artifacts and effectively reduce the noise power. Similarly, the optical distance of R_1 and R_2 for type D source image of SNR 0 dB and 3 dB are respectively reconstructed by using PSA-based FD-OCT and Pix2Pix GAN-based FD-OCT method, as shown in Table VI. The values of R_1 and R_2 of the FD-OCT depth profile reconstructed from the Pix2Pix GAN-based FD-OCT and the PSA-based FD-OCT are the same.

Table VI shows the optical distance of R_1 and R_2 from type D both for translated image from Pix2Pix GAN and target images. For with 0 dB SNR, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image (-0.4933 and

2.5228), respectively. Furthermore, for type D with 0 dB SNR, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image, (-0.4933 and 2.5228), respectively.

IV. CONCLUSION

In this study, one Pix2Pix GAN-based FD-OCT is proposed to eliminate the artifacts of FD-OCT images, including conjugate, DC, and auto-correlation artifact. Four types of 2D conventional FD-OCT images (i.e., types A to D) are constructed to verify the reconstructing capability of model. The first two experiment results show that the proposed Pix2Pix GAN-based FD-OCT can reconstruct the 1D FD-OCT depth profile and 2D artifact-free FD-OCT images as well as the phase-shift algorithm-based FD-OCT system. Further, at the last experiment, additional Gaussian noise of SNR 0 dB and 3 dB are respectively added to the power spectral to generate noisier 2D conventional FD-OCT images (i.e., types C and D) for testing the model. From the results, it can be observed that the proposed model can effectively remove the artifacts and other noises in the resulted artifacts-free FD-OCT image. In addition, the proposed Pix2Pix GAN-based FD-OCT has advantages of simple design and relatively low cost. Our future work will focus on how to apply this model to more complex FD-OCT depth profile structure.

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2 **Original Manuscript ID:** Access-2021-12071
3

4 **Original Article Title: "Applying a Pix2Pix Generative Adversarial Network to a Fourier-domain Optical**
5 **Coherence Tomography System for Artifact Elimination"**
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7

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9 **To:** IEEE Access Editor

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11 **Re:** Response to reviewers
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18 Dear Editor,
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22 Thank you for allowing a resubmission of our manuscript, with an opportunity to address the reviewers'
23 comments.
24

25 We are uploading (a) our point-by-point response to the comments (below) (response to reviewers), (b) an
26 updated manuscript with yellow highlighting indicating changes, and (c) a clean updated manuscript
27 without highlights (PDF main document).
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33 Best regards,

34 Chun-Ming Huang, Eddy Wijanto, and Hsu-Chih Cheng
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Reviewer#1, Concern # 1: Transforming the 2D conventional FD-OCT images into 2D artifact-free FD-OCT images is still a supervised learning problem in essence, and some simple supervised image processing models can achieve good results. However, the author chose Pix2Pix GAN specifically, what is the basis of the choice?

Author response: Thank you for your valuable comment. Since supervised learning requires a large amount of labelled data, pre-processing of data is really a big challenge. Besides, image-to-image translation is a challenging problem and often requires specialized models and loss functions for a given translation task or dataset. For most supervised image processing models, the output image may be blurry if the input data is unseen. This is because that the model may try to generate the image by using the average value.

The Generative adversarial network (GAN) is an unsupervised learning algorithm that uses a supervised loss as part of the training. In other words, the GAN sets up a supervised learning problem in order to do unsupervised learning. It generates fake/random data, and tries to determine if a sample is generated fake data or real data. The output image that is generated with the generator network is random. However, it might generate images of any object that was there in the dataset.

The Pix2Pix GAN is a general approach for image-to-image translation. It belongs to one such type called conditional GAN (or cGAN), where a target image is generated, conditional on a given input image [25]. Compared with other GAN models, the conditional GAN has the capability of generating large high-quality images for a variety of image translation tasks. Therefore, the Pix2Pix GAN has been widely used to train a deep convolutional neural network and generates data that is similar to real data. This is the main reason why we choose to apply the Pix2Pix GAN to translate the FD-OCT.

Author action: We updated the manuscript by enriching the description about Pix2Pix GAN advantages in Section II.B on Page 4 and adding reference [25] in Section Reference on Page 14.

[25] T. Tavolara, M.K.K. Niazi, V. Arole, and W. Chen, W. Frankel, M. Gurcan, "A Modular cGAN Classification Framework: Application to Colorectal Tumor Detection," *Sci. Rep.*, vol. 9, no. 1, pp. 18969, 2019. Doi: 10.1038/s41598-019-55257-w.

Reviewer#1, Concern # 2: In my opinion, the reason why the method in this paper can achieve good results may be that FD-OCT images themselves contain less detailed information. As far as I know, SD-OCT images also contain a lot of noise or artifacts. How about applying the author's method to other images?

Author response: Thank you for your valuable comment. To the best of the authors knowledge, according to [24] in Chapter 5, SD-OCT is also known as FD-OCT, where the reference arm is kept stationary, and the depth information is obtained by a Fourier transform of the spectrally resolved interference fringes in the detection arm of a Michelson interferometer.

For clarity, we first rename the original datasets image A and image B to type A and type B, respectively. Further, in order to verify the performance of the proposed Pix2Pix GAN-Based FD-OCT model, another two datasets based on types A and B are constructed with different Gaussian noise. The hyper-parameters for constructing these four types of images are depicted in Table III and their specifications are described as follows:

1. **Type A:** one of the 2D conventional FD-OCT images from the validation dataset.
2. **Type B:** a 2D conventional FD-OCT image, which possess the same d_0 with type A, whereas n_1 and d_1 are different.
3. **Type C:** the hyper-parameters are the same as type A, but random Gaussian noise with SNR of 0 (or 3 dB) is added on the power spectra from OSA.
4. **Type D:** the image is constructed by adding random Gaussian noise on the power spectra from OSA with SNR of 0 dB (or 3 dB) to type B image.

Note that all these four types of images are not included in the training dataset.

In the second experiment, we randomly select images from the validation dataset (type A) and type B as the input sources of the trained Pix2Pix-GAN based FD-OCT model to verify the performance of the model. Experiment results show that and the proposed method has the capability to reconstruct the 2D artifact-free FD-OCT images from the 2D conventional FD-OCT images, which are not included in the training dataset.

In order to prove that the proposed Pix2Pix GAN-based FD-OCT can eliminate the artifacts of FD-OCT image with more complex noisy, the third experiment used the images from the validation dataset of types C and D. This experiment was done without re-training and used the same weight that obtained from previous training. From the experiment results, it has been shown that the artifacts and noises are almost eliminated, and only an acceptable small noise-floor remains in the image. In addition, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image.

In addition, to increase the clarity of Section III, some parts have been rewritten as follows:

In this section, we present the experimental results of the proposed Pix2Pix-GAN based FD-OCT model. The model was trained with training dataset of 1,000 images. Test images were chosen randomly from the validation dataset with 1,000 images. The results were observed every 10 epochs by using the saved model to generate translated images. Three experiments were conducted with the trained model.

First experiment aims to prove the feasibility of the Pix2Pix GAN-based FD-OCT. Further experiments are conducted without re-train the network and used the same weight obtained from previous training. The objective of image translation is to convert 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. Four types of images are constructed by using the hyper-parameters in Table III and their specifications are described as follows:

1. **Type A:** one of the 2D conventional FD-OCT images from the validation dataset.
2. **Type B:** a 2D conventional FD-OCT image, which possess the same d_0 with type A, whereas n_1 and d_1 are different.
3. **Type C:** the hyper-parameters are the same as type A, but random Gaussian noise with SNR of 0 (or 3 dB) is added on the power spectra from OSA.
4. **Type D:** the image is constructed by adding random Gaussian noise on the power spectra from OSA with SNR of 0 dB (or 3 dB) to type B image.

Note that all these four types of images are not included in the training dataset.

Author action: We updated the manuscript by adding the results and discussions from additional simulations (Figure 12, 13, 14, 15 and Table V, VI) in Section III on Page 10-12. The Abstract and Conclusion section were adding with the additional simulation results on Page 1 and 13, respectively. Some parts have been rewritten in Section III on Page 7-9.

Reviewer#1, Concern # 3: In this paper, the author did not compare the effect of the proposed method with some existing methods, so it is impossible to understand the superiority of the algorithm proposed by the author.

Author response: Thank you for your constructive comment. In the additional simulation that tested with type C and D data, Gaussian noises with SNR of 0 dB (or 3 dB) were added to the images. Note that we also utilized the phase-shift algorithm (PSA) in [5] to reconstruct these test images for comparison. These results show that the noise still existed in the resulted image for type C and D, both for 0 dB and 3 dB SNR, respectively. Meanwhile, the proposed Pix2Pix GAN-based FD-OCT method could effectively remove the artifacts and noises, resulted in noise and artifacts-free FD-OCT images. From the observations, the

1
2 proposed Pix2Pix GAN-based FD-OCT method achieves superior performance compare to the PSA-based
3 FD-OCT method.
4

5 **Author action:** We updated the manuscript by adding the results and discussions from additional
6 simulations (Figure 12, 13, 14, 15 and Table V, VI) in Section III on Page 10-12.
7

8 [5] H.C. Cheng and M.S. Shiu, "Experimental demonstration of high-speed full-range Fourier domain
9 optical coherence tomography imaging using orthogonally polarized light and a phase-shifting
10 algorithm," *Appl. Opt.*, vol. 51, pp. 8762-8768, 2012. Doi: 10.1364/AO.51.008762.
11
12

13
14 **Reviewer#1, Concern # 4:** The author mentioned in section II.B that the proposed method is cost-effective,
15 however, there is no discussion about the cost in the paper.
16

17 **Author response:** Thank you for your valuable comment. Our previous scheme [5] used orthogonal
18 polarized light for phase shifting in order to improve the speed of image scanning and remove the artifacts
19 in FD-OCT. However, the proposed architecture required complex and expensive optical instruments, such
20 as polarization plate, beam splitter, quarter-wave plate, mirror, and collimator. With the Pix2Pix GAN-based
21 FD-OCT proposed in this manuscript, only standard computer with medium computational capability is
22 needed along with the open-source software platform, such as Python and TensorFlow, verified the
23 simplicity and cost-effective feature of the proposed system.
24
25

26 **Author action:** We updated the manuscript by adding the comparison table (Table I) along with the
27 discussion about cost-effective feature of the proposed Pix2Pix GAN-based FD-OCT model in Section II.B on
28 Page 4.
29
30

31
32 **Reviewer#1, Concern # 5:** There are some related works missing in the Introduction part, such as:
33

34 [1] Guo *et al.*, Unsupervised denoising of optical coherence tomography images with nonlocal-generative
35 adversarial network, *IEEE Transactions on Instrumentation and Measurement*, 2020.
36

37 [2] Huang *et al.*, Noise-Powered Disentangled Representation for Unsupervised Speckle Reduction of
38 Optical Coherence Tomography Images, *IEEE Transactions on Medical Imaging*, 2020.
39

40 [3] Zhang *et al.*, Noise Adaptation Generative Adversarial Network for Medical Image Analysis, *IEEE*
41 *Transactions on Medical Imaging*, 2020.
42

43 **Author response:** Thank you for your enriching comment. The related works from your suggestions have
44 been added to the Introduction part of the manuscript along with the reference list [20]-[22].
45

46 In the absence of clean images for training, Guo *et al.* in [20] proposed nonlocal-GAN method for 3D OCT
47 image denoising. The experiment results proved the superiority of the proposed methods compare to other
48 denoising approaches while retaining more useful details and clearer layer structures. Further work
49 proposed by Huang *et al.* in [21] with disentangled representation GAN (DRGAN) by utilizing noise and
50 content disentanglement of an OCT image. The noisy image was disentangled into content and noise
51 spaces by corresponding encoders, and then the generator will predict the denoised OCT image through
52 these extracted content features. The qualitative and quantitative results from the experiments presented
53 that the proposed method is superior to the other conventional methods. GAN also can be utilized for style
54 transfer, namely noise adaptation GAN (NAGAN) proposed by Zhang *et al.* in [22]. In the proposed scheme,
55 one generator and two discriminators were used for the noise style transfer. The experiment results
56 verified that the noise styles were transferred while maintaining the contents. The application of NAGAN
57 for OCT showed that the noise style transfer is able to improve the segmentation and classification task,
58 both in OCT and ultrasound images, respectively.
59
60

1
2 **Author action:** We updated the manuscript by adding three related references in Section I on Page 2 and 3
3 along with the reference list [20]-[22] in Section Reference on Page 13.
4

- 5 [20] A. Guo, L. Fang, M. Qi, and S. Li, "Unsupervised Denoising of Optical Coherence Tomography Images
6 with Nonlocal-Generative Adversarial Network," IEEE T Instrum Meas, vol. 70, pp. 1-12, 2021. Doi:
7 10.1109/TIM.2020.3017036.
8
- 9 [21] Y.Q. Huang, Z.X. Lu, Y. Liu, H. Chen, J.L. Zhou, L.Y. Fang, and Y. Zhang, "Noise-Powered Disentangled
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11 Trans Med Imaging, 2020. Doi: 10.1109/TMI.2020.3045207.
12
- 13 [22] T.Y. Zhang, J. Cheng, H.Z. Fu, Z.W. Gu, Y.T. Xiao, K. Zhou, S.H. Gao, R. Zheng, and J. Liu, "Noise
14 Adaptation Generative Adversarial Network for Medical Image Analysis," IEEE Trans Med Imaging,
15 2019. Doi: 10.1109/TMI.2019.2944488.
16
17
-

18
19 **Reviewer#1, Concern # 6:** On page 6, the author chooses different activation functions for different
20 models. What I want to know is: what criteria does the author follow to choose these activation functions?
21

22 **Author response:** Thank you for your valuable comment. In the Pix2Pix GAN architecture applied in the
23 proposed system, the generator implements different activation functions for the encoder and decoder,
24 i.e., Leaky Rectified Linear Unit (Leaky ReLU) and Rectified Linear Unit (ReLU), respectively.
25

- 26
- 27 1. Rectified Linear Unit (ReLU) is a type of activation function that is linear in the positive dimension, but
28 zero in the negative dimension. The derivative of the ReLU is 1 in the positive part, and 0 in the
29 negative part.
30
 - 31 2. Leaky Rectified Linear Unit (Leaky ReLU) is a type of activation function based on ReLU, but it has a
32 small slope for negative values instead of a flat slope. The derivative of the Leaky ReLU is 1 in the
33 positive part, and is a small fraction in the negative part.
34

35 Since the chain rule in the backward pass, if the derivative of the slope of the ReLU is of 0, no learning is
36 performed on the layers below the dead ReLU. Because small (negative) value will be induced in the down-
37 sampling model, Leaky RELU was used in the encoder network (down-sampling) to prevent the "dead
38 RELU" problem. However, for the decoder network (up-sampling), RELU activation function still work well
39 and have the advantages of faster performance and introduce more non-linearity [17], [26]. For the output
40 layer, tanh activation function was implemented to normalized the output in the range of [-1,1] since the
41 range of the brightness/value of each pixel of real image should be within [-1,1].
42
43

44 **Author action:** We updated the manuscript by rewriting the part about activation function in order to give
45 clear criteria of choosing the activation function in Section II.B on Page 7 and adding reference [26] in
46 Section Reference on Page 14.
47

- 48 [17] I.J. Goodfellow, J.P. Abadie, M. Mirza, B. Xu, D.W. Farley, S. Ozair, A. Courville, and Y. Bengio,
49 "Generative adversarial nets," arXiv:1406.2661, 2014 [Online].
50
- 51 [26] M. Mehralian and B. Karasfi, "RDCGAN: Unsupervised Representation Learning with Regularized Deep
52 Convolutional Generative Adversarial Networks," in Proc. 9th Conference on Artificial Intelligence
53 and Robotics and 2nd Asia-Pacific International Symposium, 2018, pp. 31-38. Doi:
54 10.1109/AIAR.2018.8769811.
55
56
-

Reviewer#1, Concern # 7: There is no explanation of n and s in Figure 4.

Author response: Thank you for your valuable comment. The explanation for notation n and s in Figure 4 has been added where n denotes the batch size and s is the stride.

Author action: We updated the manuscript by adding the explanation for notation n and s in Figure 4 in Section II.B on Page 6.

Reviewer#1, Concern # 8: There is an inconsistency of the symbol of the central wavelength on page 4.

Author response: Thank you for your valuable comment. The symbol of the central wavelength on page 4 for equation (3) already changed to λ_0 and the redundant of notation explanation for the central wavelength in equation (3) was also removed.

$$Z_{\max} = \frac{1}{4} \cdot \frac{\lambda_0^2}{\delta\lambda} \quad (3)$$

where $\delta\lambda$ is the OSA wavelength resolution.

Author action: We updated the manuscript by changing the central wavelength symbol and removing the redundant notation explanation for the central wavelength in equation (3) in Section II.A on Page 4.

Reviewer#1, Concern # 9: There are many formatting issues that need to be carefully checked, such as the font size of the formula, the subscript representation, and so on.

Author response: Thank you for your careful inspection and we are sorry for this formatting error. We have checked the formatting carefully and made some changes to the formula size along with the notation size in equation (1-7). The subscript representation in the Figure 3, 5, 6, 8, 9, 10, and 11 was also updated.

Author action: We updated the manuscript by changing the font size of formula and notation for equation (1-7) in Section II.A on Page 4, Section II.B on Page 6 and 7 along with the subscript representation in the Figure 3, 5, 6, 8, 9, 10, and 11 in Section II.B on Page 5 and 6, Section III on Page 7, 8, and 9, respectively.

Reviewer#2: The authors have used a GAN network with U-shape down sampling and up-sampling structure to remove the artifacts in optical coherence tomography. The paper is presented well and the proposed approach are discussed in details and the paper have experimental values. I propose acceptance for the paper.

Author response: Thank you for your thorough review and support for this work. It is our sincere hope that this work provides the simple and cost-effective method for artifacts elimination in FD-OCT by applying the Pix2Pix GAN.

Note: *References suggested by reviewers should only be added if it is relevant to the article and makes it more complete. Excessive cases of recommending non-relevant articles should be reported to ieeeaccess@ieee.org*

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Applying a Pix2Pix Generative Adversarial Network to a Fourier-domain Optical Coherence Tomography System for Artifact Elimination

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ABSTRACT The presence of artifacts, including conjugate, DC, and auto-correlation artifacts, is a critical limitation of Fourier-domain optical coherence tomography (FD-OCT). Many methods have been proposed to resolve this problem to obtain high-quality images. Furthermore, the development of deep learning has resulted in many prospective advancements in the medical field; image-to-image translation by using generative adversarial networks (GANs) is one such advancement. In this study, we propose applying the Pix2Pix GAN to eliminate artifacts from FD-OCT images. The first experiment results showed that the proposed framework could translate conventional FD-OCT depth profiles into artifact-free FD-OCT depth profiles. In addition, the FD-OCT depth profile and optical distance of translated images matched those of ground truth images. Second experiment verified that the proposed GAN-based FD-OCT can be applied to generate artifact-free FD-OCT image with different parameters of sample refractive index, the front surface of the sample toward the zero-delay position, and the physical thickness of the sample. **Third experiment proved that the proposed model could translated the conventional FD-OCT depth profiles with additional Gaussian noises source image into artifacts-free FD-OCT and successfully relieved the noise.**

INDEX TERMS Artifacts, FD-OCT, image-to-image translation, Pix2Pix GAN

I. INTRODUCTION

Optical coherence tomography (OCT) is an optical imaging modality used to obtain high-resolution cross-sectional tomographic images of the internal microstructures of materials and biological systems. OCT is a noninvasive imaging modality that produces images by using backscattered or back-reflected light. Compared with conventional ultrasound, it can provide higher-resolution images at higher magnitudes and has been widely used for diagnosing ocular diseases. In general, OCT can be classified into two categories: time-domain OCT (TD-OCT) and Fourier-domain OCT (FD-OCT). In FD-OCT, as the signal-to-noise ratio increases in proportional to the number of detection elements, the imaging speed and sensitivity considerably increase significantly. Nevertheless, in a traditional FD-OCT system, since the acquired interferometric signal only represents the real component of a complex waveform, the complex conjugate mirror image is symmetrical to the zero-delay depth. Consequently, because

the FD-OCT system is more sensitive around the zero-delay line, imaging is performed by positioning the zero-delay line at the region of interest in a sample to obtain double-depth range images. Sanjay *et al.* [1] used spectral-domain OCT (SD-OCT) to examine patients diagnosed as having glaucoma and reported that 15.2%–36% of scans showed artifacts that may cause difficulty to physicians in the analysis of images. A study identified various types of artifacts that can lead to an incorrect diagnosis [2]. Because artifacts can obscure imaging results and prevent the detection of critical features in a sample structure, full-range FD-OCT is commonly implemented using phase shifting to reconstruct the sample structure and overcome this complex artifact problem. Full-range FD-OCT images are obtained by recording several interferograms with different phase relations. Jiewen *et al.* [3] proposed a five-frame variable phase-shifting (FVP) method to reduce the effects of polychromatic errors. Compared with the traditional five-

1
2
3 frame invariant phase-shifting method, the FVP method
4 could significantly improve the quality of OCT images with a
5 factor of 1.7 for the suppression of complex conjugate
6 artifacts (CCAs). In our previous studies, we proposed
7 another method for artifact suppression that utilized
8 orthogonal polarized light for phase shifting to improve the
9 speed of image scanning and remove unwanted components
10 [4],[5]. The simulation results of our previous study showed
11 that two FD-OCT interferograms could be simultaneously
12 obtained along with orthogonal polarization components [4].
13 The benefits of this method were also reported in our further
14 study based on the experimental observation [5].
15 Furthermore, another study reported that applying an
16 orthogonal interferometer to a nondestructive dimensional
17 metrological system resulted in high speed, high precision,
18 and an ultra-long range [6]; moreover, suppression ratios of
19 80 dB for direct current (DC) and 60 dB for mirror images
20 could be achieved using this method. Using an ultra-
21 broadband light source to achieve the sinusoidal vibration of
22 a mirror, Qiukun *et al.* [7] obtained a series of spectral
23 interferograms with different phase delays that resulted in the
24 elimination of CCAs. This postprocessing method was also
25 beneficial for increasing the quality of OCT images. Gangjun
26 *et al.* [8] evaluated two methods to align interferograms
27 affected by trigger jitter to reduce residual fixed-pattern
28 noise. The first method involved using a wavenumber shift
29 (k-shift) in the interferograms of interest and searching for
30 the k-shift that minimized the fixed-pattern artifact, whereas
31 the second method involved using relative k-shift and the
32 phase information at the residual fixed-pattern noise location.
33 To remove or reduce artifacts, another study proposed a
34 postprocessing method [9] that involved using a correction
35 factor extracted from a pre-reconstructed tomogram.
36 Distinguishable morphological features of the sample surface
37 could be detected using this method.

38 FD-OCT imaging results are highly sensitive to the effects
39 of system instability and environmental noise. This limitation
40 can be resolved using the one-shot phase-shifting method
41 based on a reference wavefront tilting technique. A previous
42 study [10] proposed an achromatic phase-shifting method in
43 which a linear polarizer and a quarter-wave plate were used
44 to generate circularly polarized light in the reference arm.
45 This method could produce fringe-free OCT images in a
46 single shot. However, this method required phase calibration
47 and was hampered by wavelength dispersion effects. Another
48 study proposed an FD-OCT design with two phase-shifted
49 interference fringes that were simultaneously obtained from
50 two orthogonally polarized lights and processed using the
51 image reconstruction algorithm [11]. This proposed FD-OCT
52 design [11] could produce one-shot images by using a fixed
53 apochromatic quarter-wave retarder rather than a rotating
54 polarizer and a mechanical scan. Furthermore, in our
55 previous study, we used one-shot full-range FD-OCT that
56 had a noise elimination feature to measure the thickness and
57 refractive index of samples [12]. The proposed method in

[12] provided full-range and one-shot measurements with
twice the maximal depth position.

Deep learning (DL) has revolutionized artificial
intelligence (AI), and it has solved many complex problems
related to AI. DL models are composed of multiple layers,
where each layer is connected to its lower and upper layers
through different weights. The capability of DL models to
learn hierarchical features from various types of data makes
them useful for solving many problems, including those
encountered in medical imaging. Sripad *et al.* [13] proposed
a DL framework to denoise a single-frame OCT B-scan of an
optic nerve head (ONH) that provided the advantages of
decreased scanning times and minimal patient discomfort.
The quantitative measurements of the DL method showed an
improvement in the mean signal-to-noise ratio, mean
contrast-to-noise ratio, and mean structural similarity index.
In addition, the DL method was used to extract capillary-
level angiograms from a single OCT volume [14] and detect
retinal nerve fiber layer segmentation errors on SD-OCT
[15]. Further DL role in medical imaging was showed in [16]
for automated age-related macular degeneration (AMD)
detection by utilizing support vector machine (SVM),
AlexNet, GoogleNet, and Inception-ResNet for AMD
detection while a block-matching and 3-Dimension filter
(BM3DF), a hybrid median filter (HMF), and an adaptive
wiener filter (AWF) were used to denoise the OCT images.
The results reported in [16] indicated that by considering the
trade-offs between the computation time and detection
accuracy, AlexNet achieves a high detection accuracy with
low computation time.

In 2014, a generative adversarial network (GAN) was
developed by Goodfellow as a deep-learning-based
generative model [17]. The GAN consists of two parts: the
generator that learns to generate plausible data, and the
discriminator that learns to distinguish the generator's fake
data from real data. In the training process, the generator
produces fake data, and the discriminator attempts to
discriminate between real and fake data. The GAN has
shown remarkable results in various tasks such as image
generation, image translation, super-resolution imaging, and
face image synthesis. Haris *et al.* [18] proposed a single
process that could be used to remove both noise and retinal
shadows from unseen single-frame B-scans within a short
time by using the GAN. Another study used GAN to remove
shadows from OCT images, thereby correcting blood vessel
shadows in the OCT images of the ONH [19]. In the absence
of clean images for training, Guo *et al.* in [20] proposed
nonlocal-GAN method for 3D OCT image denoising. The
experiment results proved the superiority of the proposed
methods compare to other denoising approaches while
retaining more useful details and clearer layer structures.
Further work proposed by Huang *et al.* in [21] with
disentangled representation GAN (DRGAN) by utilizing
noise and content disentanglement of an OCT image. The
noisy image was disentangled into content and noise spaces

by corresponding encoders, and then the generator will predict the denoised OCT image through these extracted content features. The qualitative and quantitative results from the experiments presented that the proposed method is superior to the other conventional methods. GAN also can be utilized for style transfer, namely noise adaptation GAN (NAGAN) proposed by Zhang *et al.* in [22]. In the proposed scheme, one generator and two discriminators were used for the noise style transfer. The experiment results verified that the noise styles were transferred while maintaining the contents. The application of NAGAN for OCT showed that the noise style transfer is able to improve the segmentation and classification task, both in OCT and ultrasound images, respectively. Furthermore, in a previous study [23], a GAN was implemented with conditional adversarial networks, known as cGAN, to obtain a general-purpose solution. This model can be used to solve various image translation problems.

In this study, the Pix2Pix GAN, as a component of a cGAN framework [23], was applied to the one-shot and full-range FD-OCT system to eliminate artifacts, including conjugate, DC, and auto-correlation artifacts, by using the image-to-image translation mechanism. The Pix2Pix GAN was trained to learn how to translate a conventional FD-OCT depth profile generated from inverse fast Fourier transform (IFFT) signal processing into an artifact-free FD-OCT depth profile without the requirement of complicated equipment. The proposed Pix2Pix GAN-based FD-OCT system has a simple design and relatively low cost.

The remainder of this paper is organized as follows. Section II describes the conventional FD-OCT architecture and artifact problems in the FD-OCT system along with image-to-image translation by using the Pix2Pix GAN.

Section III presents the experimental results and a discussion of them. Finally, Section IV provides concluding remarks and suggestions for future studies.

II. PIX2PIX GAN-BASED FULL-RANGE FD-OCT

In this study, we propose a simplified FD-OCT scheme by using Pix2Pix GAN-based image-to-image translation to remove unwanted artifacts.

A. Conventional full-range FD-OCT

FD-OCT systems are based on the measurement of the interference spectrum, either in space on a spectrometer or in time during the wavelength sweep of a rapidly tunable laser source. Figure 1 depicts the architecture of the conventional full-range FD-OCT system implemented in this study to create the dataset. This system consists of a super luminescent diode (SLD), a 2×2 coupler, two collimators, a reference mirror, and an optical spectrum analyzer (OSA) that are used to obtain OCT spectra. The SLD, a broadband low-coherence light source, is distributed to the sample and reference arm through the coupler. In the sample arm, the beam is directly delivered to the sample, and then scattered and reflected into a collimator. In the reference arm, the probing beam is incident on a mirror. Let parameter n_1 represents the refractive index of the sample, d_0 indicates the front surface of the sample toward the zero-delay position, and d_1 denotes the physical thickness of the sample. Light emerging from the mirror passes back to the coupler in the reference arm. Beams from the reference and sample arms are recombined in the coupler and then passed to the OSA. Subsequently, the received spectra use the IFFT method for signal processing.

The inverse Fourier transform of the intensity signal is given in [24]:

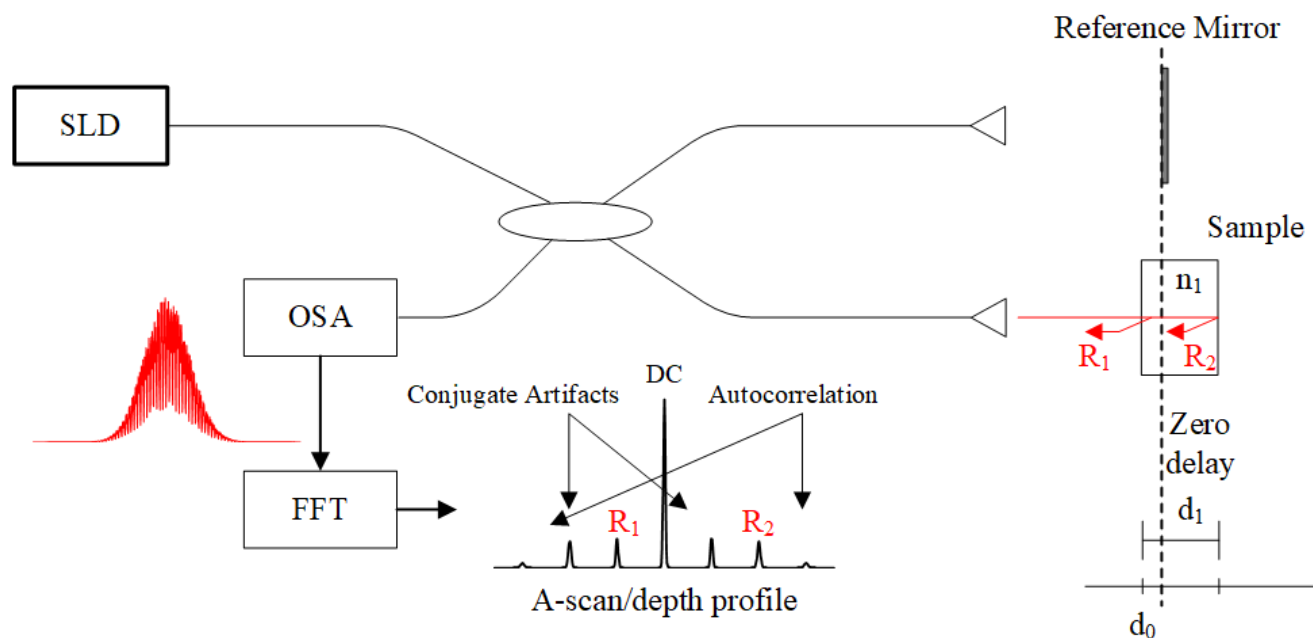


FIGURE 1. Conventional full-range FD-OCT architecture.

$$\begin{aligned}
 I_D(k) = & \frac{\rho}{8} [S(k)(R_R + R_{S1} + R_{S2} + \dots)] \\
 & + \frac{\rho}{4} \left[\sum_{n=1}^N \sqrt{R_R R_{Sn}} \{S[2(z_R - z_{Sn})]\} + \{S[-2(z_R - z_{Sn})]\} \right] \\
 & + \frac{\rho}{8} \left[\sum_{n \neq m}^N \sqrt{R_{Sn} R_{Sm}} \{S[2(z_{Sm} - z_{Sn})]\} + \{S[-2(z_{Sm} - z_{Sn})]\} \right] \quad (1)
 \end{aligned}$$

where k is the wavenumber, $I_D(k)$ is the photocurrent, ρ is the responsivity of the detector (A/W), $S(k)$ is the power spectral, z_R indicates the distance from the beam splitter or fiber coupler to the reference reflector, z_n represents the path length variable in the sample arm measured from the beam splitter, and R_R and R_S denote the power reflectivity of the reference reflector and each reflector in the sample arm, respectively. From (1), the photocurrent can be divided into three components. The first term is called the DC component, and its amplitude is proportional to the power reflectivity of the reference mirror added to the sum of sample reflectivity. The DC component has the largest amplitude of the detector current and is an artifact in OCT. The second item is the desired component of OCT, which is the cross-correlational component of each sample reflector. The last component is an autocorrelation part, which is also an artifact in OCT, caused by the interference between different sample reflectors. In addition, a conjugate artifact, also called a mirror artifact, is another type of artifact found in OCT. This artifact occurs because the detected interferometric spectrum is real, whereas inverse Fourier transform of the spectral shows Hermitian symmetry. In other words, its positive and negative distances are the complex conjugates of each other. Therefore, if they are real, they must be identical [24].

In OCT systems, the axial resolution is determined by the coherence length of the light source and defined using the Rayleigh criterion. The axial resolution of the OCT system can be expressed as follows:

$$\Delta z = \frac{l_c}{2} = 0.44 \frac{\lambda_0^2}{\Delta \lambda} \quad (2)$$

where l_c is the coherence length of the light source, λ_0 is the central wavelength of the light source, and $\Delta \lambda$ is the bandwidth.

The maximal depth position Z_{\max} was determined according to the OSA wavelength resolution and is given as follows:

$$Z_{\max} = \frac{1}{4} \frac{\lambda_0^2}{\delta \lambda} \quad (3)$$

where $\delta \lambda$ is the OSA wavelength resolution.

Assume that the central wavelength of the light source is 1550 nm and the full width at half maximum of the spectrum is 20 nm. By using (2), the value of axial resolution can be calculated as approximately 52.86 μm .

B. Pix2Pix GAN-based FD-OCT

To eliminate these artifacts by using simple and cost-effective methods, we propose applying the Pix2Pix GAN to the FD-OCT system to obtain an artifact-free FD-OCT depth profile, as shown in Figure 2. The Pix2Pix GAN is a general approach for image-to-image translation. It is a type of a conditional GAN, where the generation of the output image is conditional on the input image [25]. Compared with other GAN models, the conditional GAN has the capability of generating large high-quality images for a variety of image translation tasks. Therefore, the Pix2Pix GAN has been widely used to train a deep convolutional neural network and generates data that is similar to real data.

Table I presents the devices required for the conventional FD-OCT method proposed in our previous work [5] and the proposed Pix2Pix GAN-based FD-OCT. The conventional FD-OCT method consist of two polarization plates, one beam splitter, one quarter-wave plate, two mirrors, and two collimators. Meanwhile, the proposed Pix2Pix GAN-based FD-OCT is computationally inexpensive and can be run on standard computers without the need of complex and expensive optical equipment, verified the cost-effective feature of the proposed system. The software used in the proposed system is the open-source framework.

TABLE I
DEVICES COMPARISON

Devices	Method	
	Conventional Full-Range FD-OCT	Pix2Pix GAN-Based FD-OCT
Hardware	Polarization Plate	Standard Computer
	Beam Splitter	GPU with 11 GB of
	Quarter-Wave Plate	DRAM
	Mirror	
	Collimator	
Software	-	Open-Source Python Open-Source TensorFlow

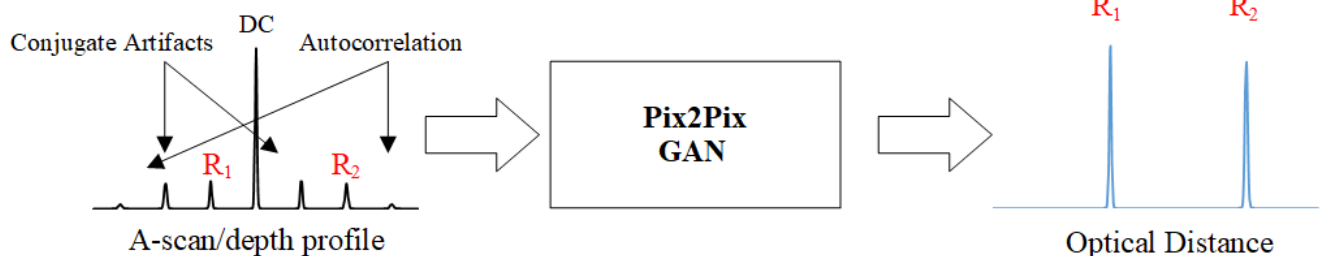


FIGURE 2. Pix2Pix GAN-based FD-OCT system.

The dataset used for training the Pix2Pix GAN was generated by implementing conventional full-range FD-OCT and contained many pairs of images. Each pair of images included an original image and its expected transformed result. In other words, we used the Pix2Pix GAN to translate a conventional FD-OCT depth profile into an artifact-free FD-OCT depth profile. First, the conventional FD-OCT depth profiles were generated using (1) with different parameters. Subsequently, the corresponding artifact-free FD-OCT depth profiles were obtained using the phase-shifting algorithm proposed in our previous study [4]. However, as shown in Figure 3 (a) and (c), these conventional and artifact-free FD-OCT depth

profiles were all contained one-dimensional (1D) data, which could not be directly fed to the Pix2Pix GAN. To solve this problem, without the loss of generality, we expanded these 1D FD-OCT depth profiles into two-dimensional (2D) images by duplicating original 1D data. Assume that the size of the conventional (or artifact-free) FD-OCT depth profile is $1 \times N$, where N denotes sampling points. Subsequently, raw data were copied and expanded to an $N \times N$ FD-OCT image (i.e., Figure 3 (b) and (d)). In addition, the brightness of lines inside the corresponding 2D image was proportional to the intensity of the FD-OCT depth profile.

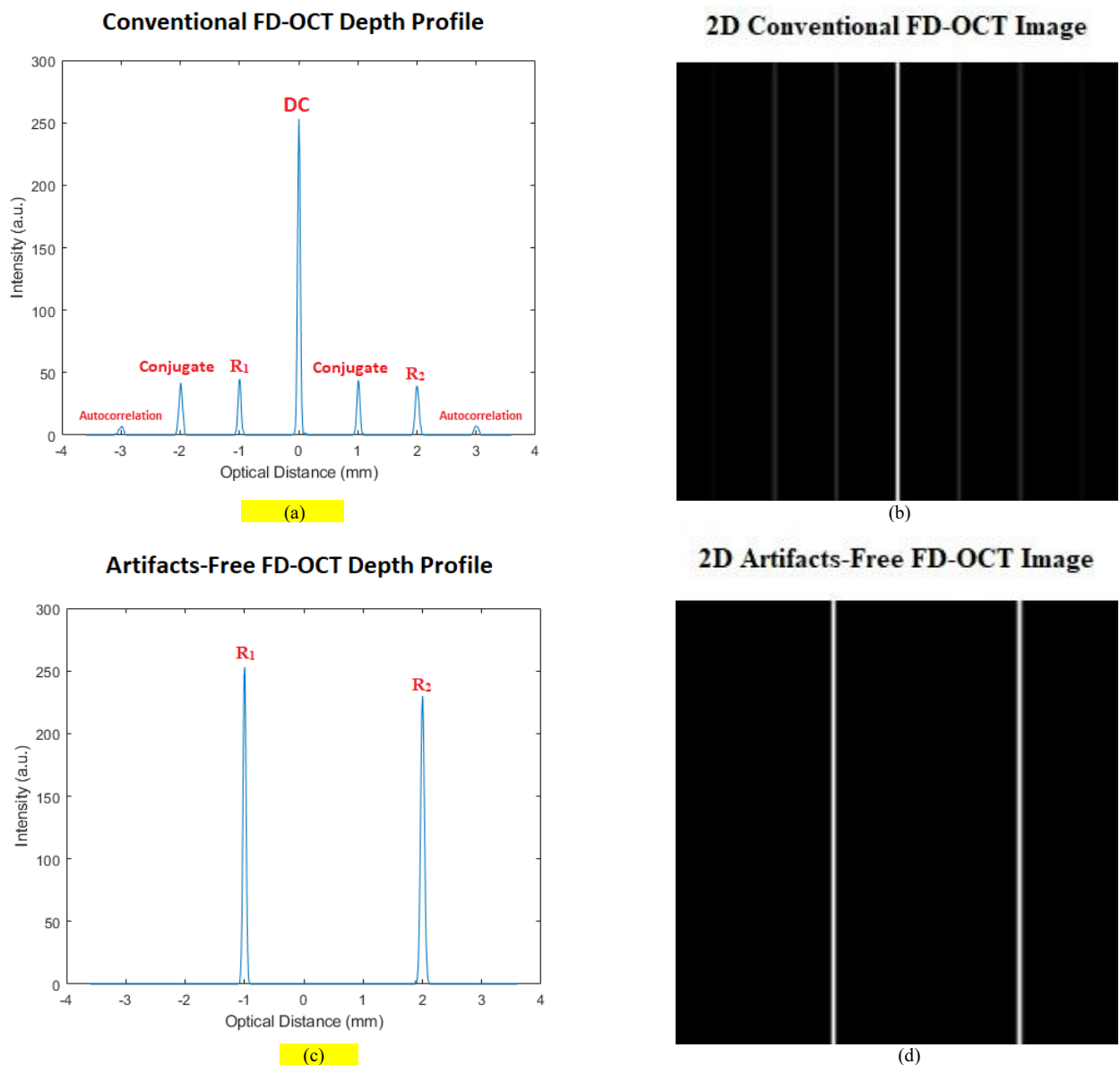


FIGURE 3. Sample of the FD-OCT dataset. (a) Conventional FD-OCT depth profile (b) 2D conventional FD-OCT image (c) Artifact-free FD-OCT depth profile (d) 2D artifact-free FD-OCT image.

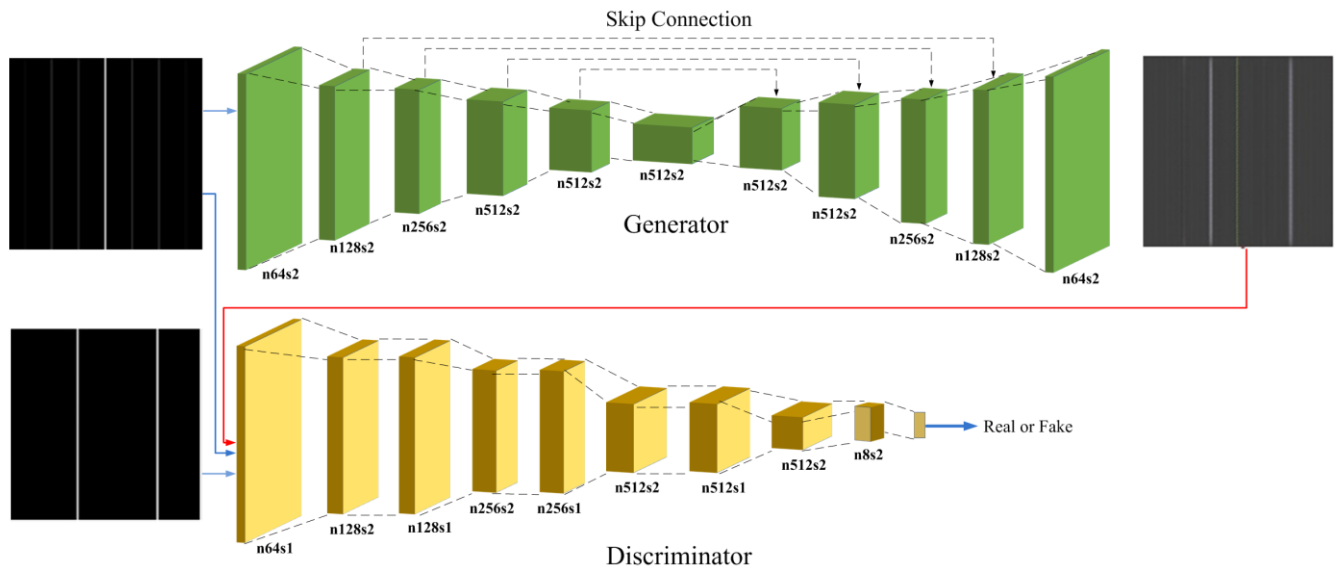


FIGURE 4. Pix2Pix GAN architecture.

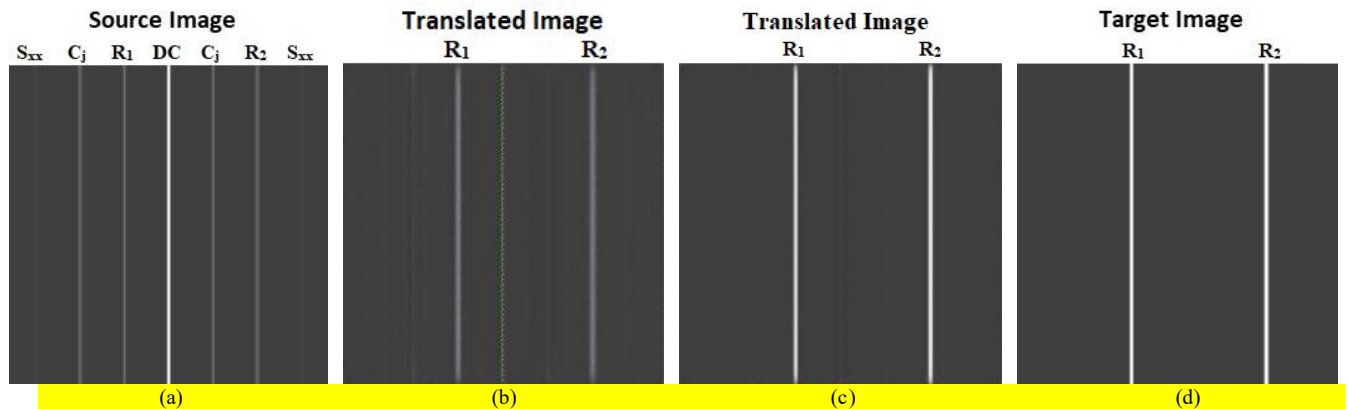


FIGURE 5. Image translation results for Pix2Pix GAN-based FD-OCT.

Figure 4 shows the Pix2Pix GAN architecture used in this study where n denotes the batch size and s is the stride. The discriminator was based on a PatchGAN model, which classified patches of an image as real or fake by outputting a matrix of values as the output instead of a single value. The advantage of using this model was that it provided sharp high-frequency details and the number of parameters could also be reduced. Source and target images (i.e., the 2D conventional and artifact-free FD-OCT images, respectively) were provided to the discriminator to determine whether the target was real or fake.

The generator had a U-shaped network architecture, which added skipped connections between each central symmetric layer to prevent the loss of small information. The generator was used an encoder-decoder network composed of the standardized blocks of convolutional, batch normalization, dropout, and activation layers. The generator was trained through adversarial loss and updated through $L1$ loss that was measured between the generated image and the expected output image. This additional loss drove the generator model to create plausible translations of the source image. Overall,

the generator was updated through a weighted sum of both adversarial and $L1$ losses. To achieve this simultaneous training, the logical or composite model was used to stack the generator on top of the discriminator. A source image was provided as the input to the generator and discriminator. In addition, the output of the generator was provided to the discriminator as the plausible image. The discriminator then predicted the likelihood of an image being real or fake, thus translating the source image. The objective of the proposed model is expressed as follows [19]:

$$L_{GAN}(G, D) = E_{x,y} [\log D(x, y)] + E_x [\log (1 - D(x, G(x)))] \quad (4)$$

where x is the source image, and y is the corresponding target image, set as the ground truth for x . In (4), the generator G attempted to minimize this objective in response to an adversarial discriminator D that attempted to maximize it, where the results are optimized as follows:

$$G^* = \arg \min_G \max_D L_{GAN}(G, D) \quad (5)$$

where G^* is the resulting optimized generator.

The $L1$ loss from the generated and expected output images can be evaluated as follows:

$$L1(G) = E_{x,y} [\|y - G(x)\|_1] \quad (6)$$

The final objective of the proposed model can be denoted as follows:

$$G^* = \arg \min_G \max_D L_{GAN}(G, D) + \lambda L1(G) \quad (7)$$

To train the discriminator, batches of real and fake images are required. The corresponding discriminator of the real image is given the label of class = 1 to indicate that they are real, whereas the corresponding discriminator of the fake image is given the label of class = 0 to indicate that they are fake.

In this experiment, we used the 2D FD-OCT image dataset for both training and validation. The objective of image translation was to convert 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. Both the training and validation datasets contained 1,000 images each. Both 2D conventional FD-OCT images and 2D artifact-free FD-OCT images were in JPEG format with an image being 600 pixels wide and 600 pixels high.

The model was trained using the Keras DL framework on a personal workstation with an NVIDIA GeForce RTX 2080 Ti graphics processing unit with 11 GB of memory. Each image was loaded and paired between a 2D conventional FD-OCT image and a 2D artifact-free FD-OCT image. For computational purposes, the datasets were downsampled to images that were 256 pixels wide and 256 pixels high. The arrays of the datasets were saved in compressed NumPy array format. The discriminator model was optimized using the Adam optimizer with a learning rate of 0.0002 and a beta of 0.5 and by implementing the binary cross entropy loss function. The generator model applied different activation functions for the encoder and decoder network. Because small (negative) value will be induced in the down-sampling model, Leaky ReLU was used in the encoder network (down-sampling) to prevent the “dead ReLU” problem. However, for the decoder network (up-sampling), RELU activation function still work well and have the advantages of faster performance and introduce more non-linearity [17], [26]. For the output layer, tanh activation function was implemented to normalized the output in the range of [-1,1] since the range of the brightness/value of each pixel of real image should be within [-1,1]. For weight initialization, a random normal with a standard deviation of 0.02 was applied [27].

GAN models typically do not converge; therefore, an equilibrium was found between the generator and discriminator models; that is, the image quality was used to choose the best model. To examine the quality of the translated image, the model was saved to an H5 formatted file every 10 training epochs and used later to generate image-to-image translations. The total number of epochs was set to 100. The results may have varied due to the stochastic nature of the algorithm in terms of differences in the numerical precision.

Artifacts-Free FD-OCT Depth Profile

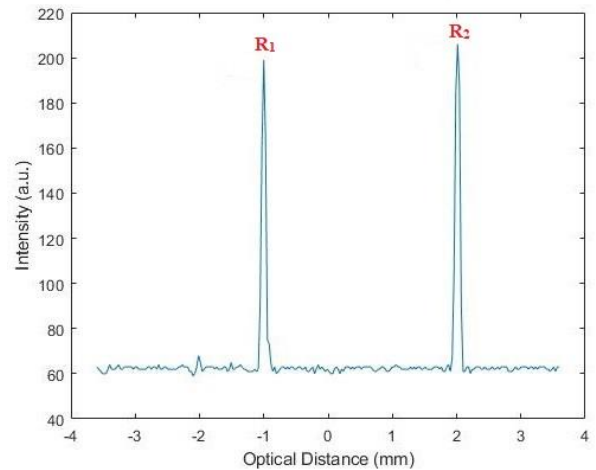


FIGURE 6. The 1D artifact-free FD-OCT depth profile after 100 epochs.

III. RESULTS AND DISCUSSION

In this section, we present the experimental results of the proposed Pix2Pix-GAN based FD-OCT model. The model was trained with training dataset of 1,000 images. Test images were chosen randomly from the validation dataset with 1,000 images. The results were observed every 10 epochs by using the saved model to generate translated images. Three experiments were conducted with the trained model.

First experiment aims to prove the feasibility of the Pix2Pix GAN-based FD-OCT. Figure 5 displays the source, translated, and target images (i.e., the ground truth image) of FD-OCT, respectively. The indices of S_{xx} , C_j , DC , R_1 and R_2 indicate the auto-correlation artifact, conjugate artifact, DC artifact, first and second peaks of FD-OCT, respectively. The source, translated, and target images denote the 2D conventional FD-OCT image, 2D artifact-free FD-OCT image produced using the Pix2Pix GAN, and real 2D artifact-free FD-OCT image, respectively. Our purpose was to translate 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. From the translated images, we observed that the proposed model could translate the input FD-OCT image into the desired result. The FD-OCT depth profile of the translated image was the same as that of the target image. Figure 5 (b) reveals that some background noise was still present after 10 training epochs. However, after 100 training epochs, the translated image was clearer and approaching the target image, as shown in Figure 5 (c).

To verify the optical distance of the FD-OCT image, we reduced the dimension of the translated image to obtain the corresponding 1D FD-OCT depth profile, as shown in Figure 6. The first and second peaks of the FD-OCT depth profile (R_1 and R_2) obtained from the translated image matched those of the FD-OCT depth profile obtained from the target image (i.e., -1.0007 and 2.0155, respectively). Note that since the Pix2Pix-GAN generates image in RGB mode, the black color

TABLE II
 OPTICAL DISTANCE OF FD-OCT

	Optical Distance (mm)	
	R_1	R_2
Translated Image	-1.0007	2.0155
Target Image	-1.0007	2.0155

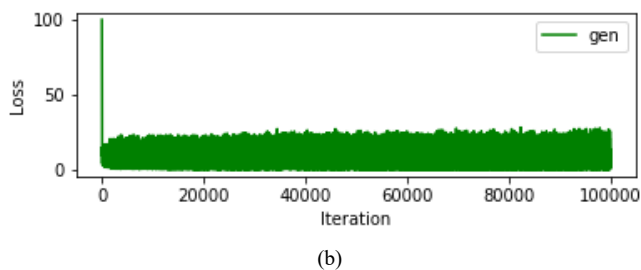
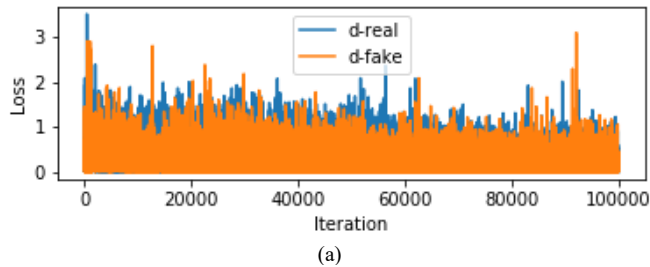


FIGURE 7. Loss of GAN-based FD-OCT system. (a) Discriminator loss (b) Generator loss.

 TABLE III
 FD-OCT IMAGE PARAMETER

	Type	
	A · C	B · D
n_1	1.5	2.5
d_0	-500 μm	-500 μm
d_1	2,000 μm	1,200 μm

of the translated image is not truly black, cause an average bias about 60 in the intensity. Table II lists the optical distances of R_1 and R_2 for both translated and target images.

The performance of the discriminator and generator models was recorded for each iteration. Figure 7 shows the discriminator loss for real images (blue), discriminator loss for generated fake images (orange), and the generator loss for generated fake images (green) for 100,000 iterations. Discriminator loss for real and fake samples is about the same at around 1.0 and loss for the generator is higher. This result indicates the stable GAN with normal loss during the training process.

Further experiments are conducted without re-train the network and used the same weight obtained from previous training. The objective of image translation is to convert 2D conventional FD-OCT images into 2D artifact-free FD-OCT images. Four types of images are constructed by using the hyper-parameters in Table III and their specifications are described as follows:

1. Type A: one of the 2D conventional FD-OCT images from the validation dataset.

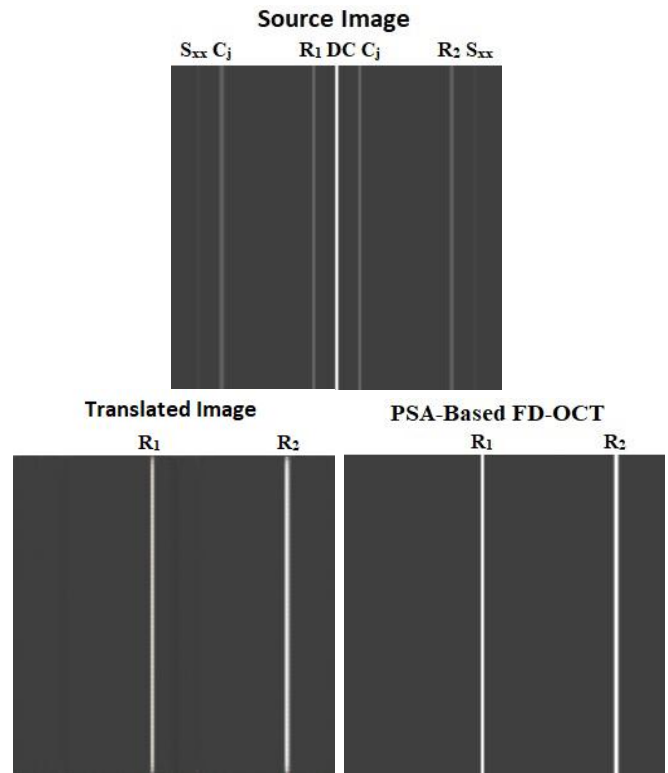


FIGURE 8. The target image and translated results for 2D conventional FD-OCT image of type A source image.

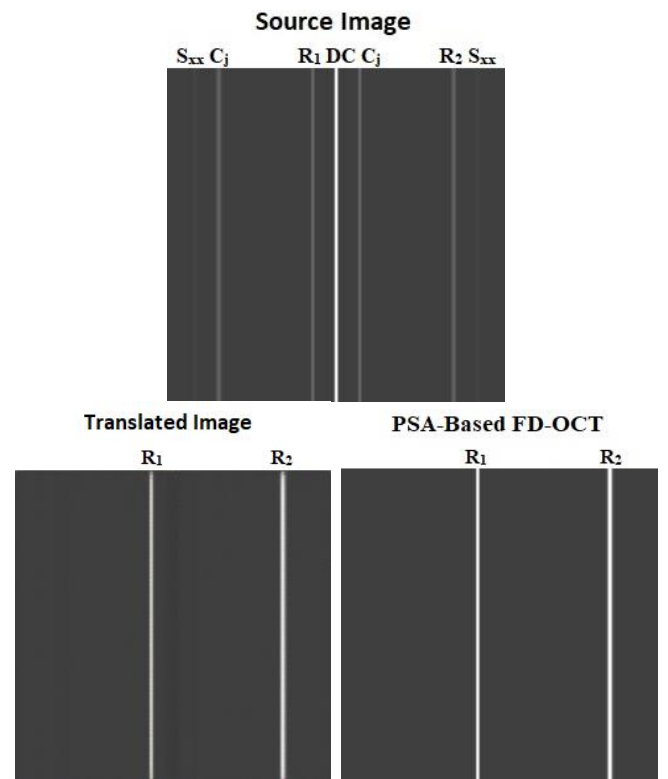


FIGURE 9. The target image and translated results for 2D conventional FD-OCT image of type B source image.

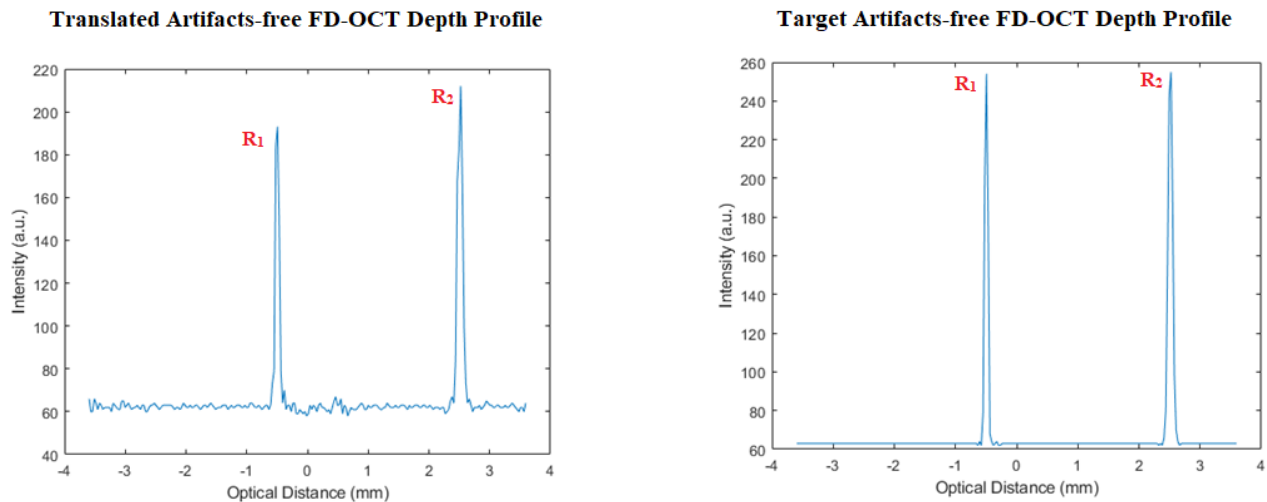


FIGURE 10. The 1D translated and target artifacts-free FD-OCT depth profiles of type A image.

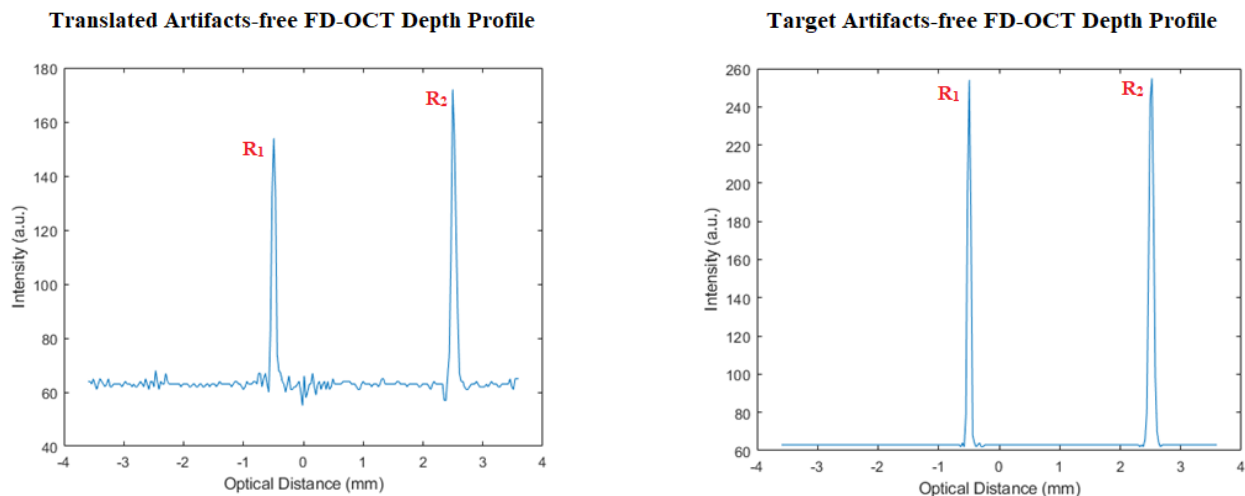


FIGURE 11. The 1D translated and target artifacts-free FD-OCT depth profiles of type B image.

2. Type B: a 2D conventional FD-OCT image, which possess the same d_0 with type A, whereas n_1 and d_1 are different.
3. Type C: the hyper-parameters are the same as type A, but random Gaussian noise with SNR of 0 (or 3 dB) is added on the power spectra from OSA.
4. Type D: the image is constructed by adding random Gaussian noise on the power spectra from OSA with SNR of 0 dB (or 3 dB) to type B image.

Note that all these four types of images are not included in the training dataset.

Second experiment applied the images of types A and B to verify the capability of the proposed Pix2Pix GAN-based FD-OCT model in reconstructing 2D artifact-free FD-OCT images of unknown 2D conventional FD-OCT images. Note that we also utilized the phase-shift algorithm (PSA) in [4] to reconstruct these test images for comparison. Since these

images are not included in the training dataset, to avoid confusion, these images are names as PSA-based FD-OCT images in the rest of this study.

Figures 8 and 9 show the target image and translated results for 2D conventional FD-OCT image of types A and B, respectively. It has been shown that the model could translate the source image into a 2D artifact-free FD-OCT image, which is the same as the PSA-based FD-OCT image. Moreover, to confirm the optical distance of the translated image, these 2D images are converted to the 1D FD-OCT depth profiles by reducing the dimension. Figures 10 and 11 show the 1D translated and target artifacts-free FD-OCT depth profiles of types A and B, respectively.

For type A, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image (-0.4933 and 2.5228), respectively. Meanwhile, for type B, the first peak (R_1) and second peak

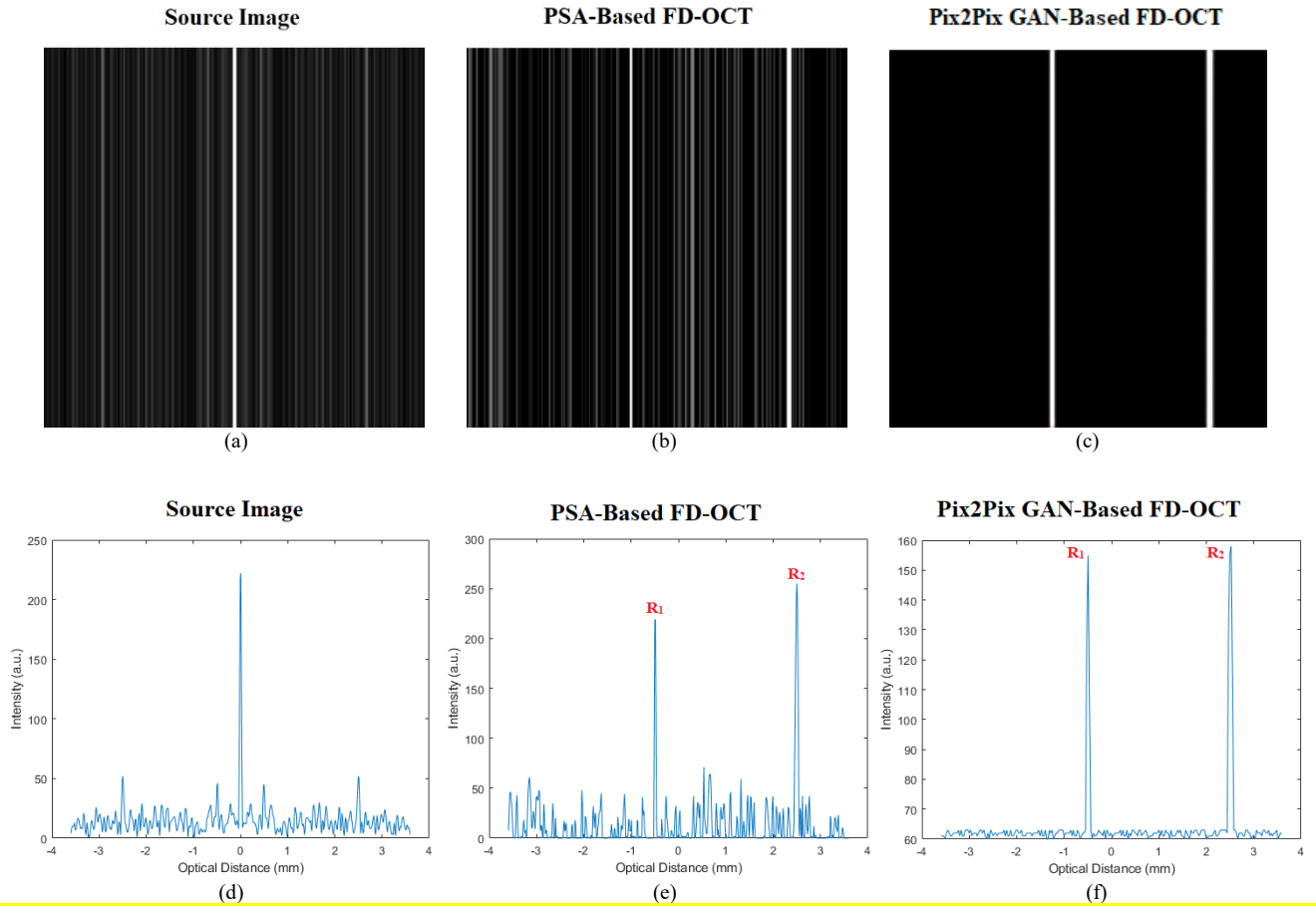


FIGURE 12. The 1D FD-OCT depth profiles and 2D images of the type C source images of SNR 0 dB, PSA-based FD-OCT image, and Pix2Pix GAN-based FD-OCT image.

TABLE IV
FD-OCT OPTICAL DISTANCE COMPARISON

	Optical Distance (mm)	
	R_1	R_2
Type A		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228
Type B		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.4947

(R_2) of the FD-OCT depth profile from the translated image matched those of the target image, (-0.4933 and 2.4947), respectively. The second peak represented a small error but was in the acceptable range. The optical distance of R_1 and R_2 both for translated and target images are shown in Table IV.

In order to prove that the proposed Pix2Pix GAN-based FD-OCT can eliminate the artifacts of FD-OCT image with more complex noisy, the third experiment used the images from the validation images of types C and D.

Figure 12 presents the 1D FD-OCT depth profiles and 2D images of the type C source image of SNR 0 dB, PSA-based FD-OCT image and Pix2Pix GAN-based FD-OCT image,

respectively. As shown in Figure 12 (a) and (d), it can be observed that there are a lot of noises in the source image, except the artifacts. Figure 12 (b) and (e) depict the results of PSA-based FD-OCT, where noises still exist expect for the peaks of FD-OCT. Further, Figure 12 (c) and (f) show the translated image using the Pix2Pix GAN-based FD-OCT method. It has been shown that the artifacts and noises are almost eliminated, and only an acceptable small noise-floor remains in the image. Figure 13 depicts the 1D FD-OCT depth profiles and 2D images of the type C source image of SNR 3 dB, PSA-based FD-OCT image and Pix2Pix GAN-based FD-OCT image, respectively. From Figure 13 (a) and (d), we can observe that much noises appear in the source image. Figure 13 (b) and (e) display the results of PSA-based FD-OCT, which still have noises in addition to the FD-OCT peaks. Figure 13 (c) and (f) present the translated image using the Pix2Pix GAN-based FD-OCT method, where artifacts are removed and only a low acceptable noise-floor remains. These results verify that the proposed Pix2Pix GAN-based FD-OCT can effectively remove the artifacts and other noises in the resulted artifacts-free FD-OCT image. Compare to the PSA in [4], the proposed Pix2Pix GAN-based FD-OCT achieves superior results.

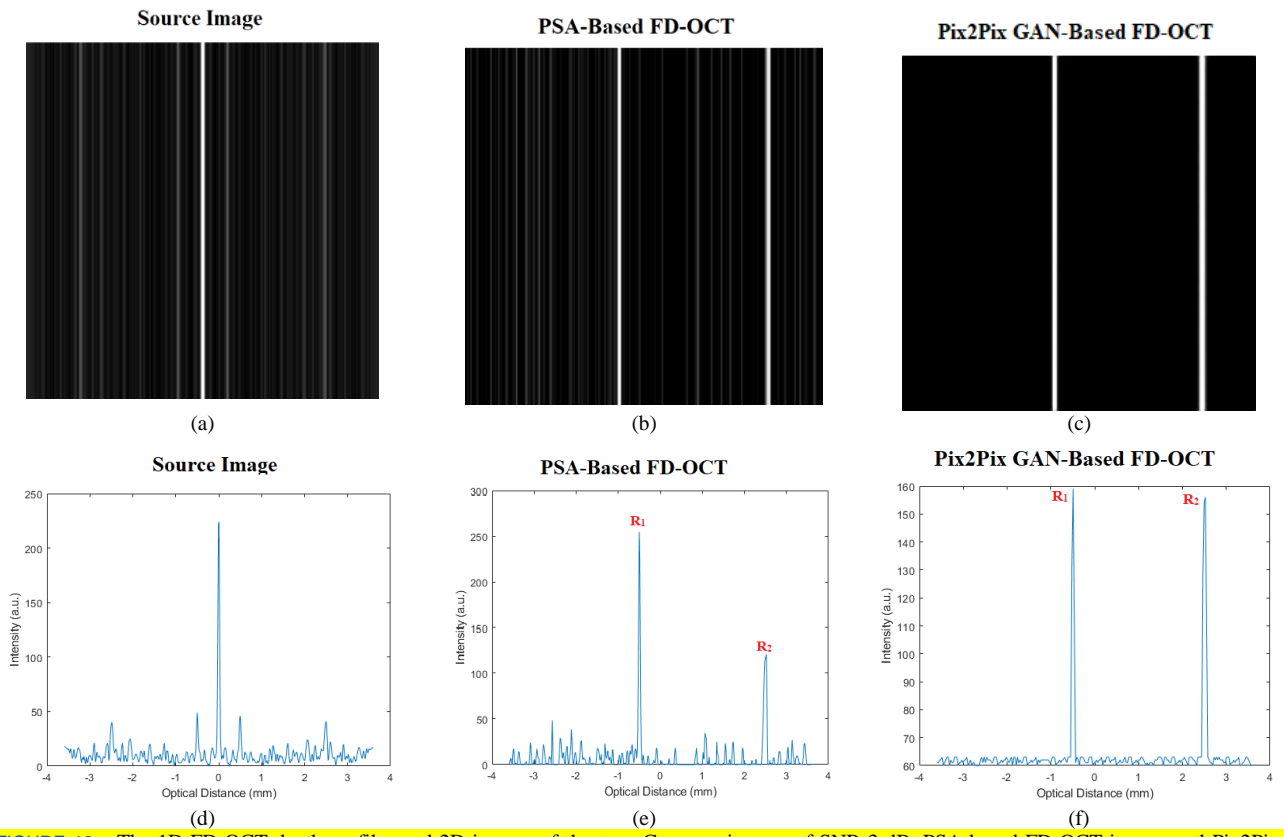


FIGURE 13. The 1D FD-OCT depth profiles and 2D images of the type C source images of SNR 3 dB, PSA-based FD-OCT image, and Pix2Pix GAN-based FD-OCT image.

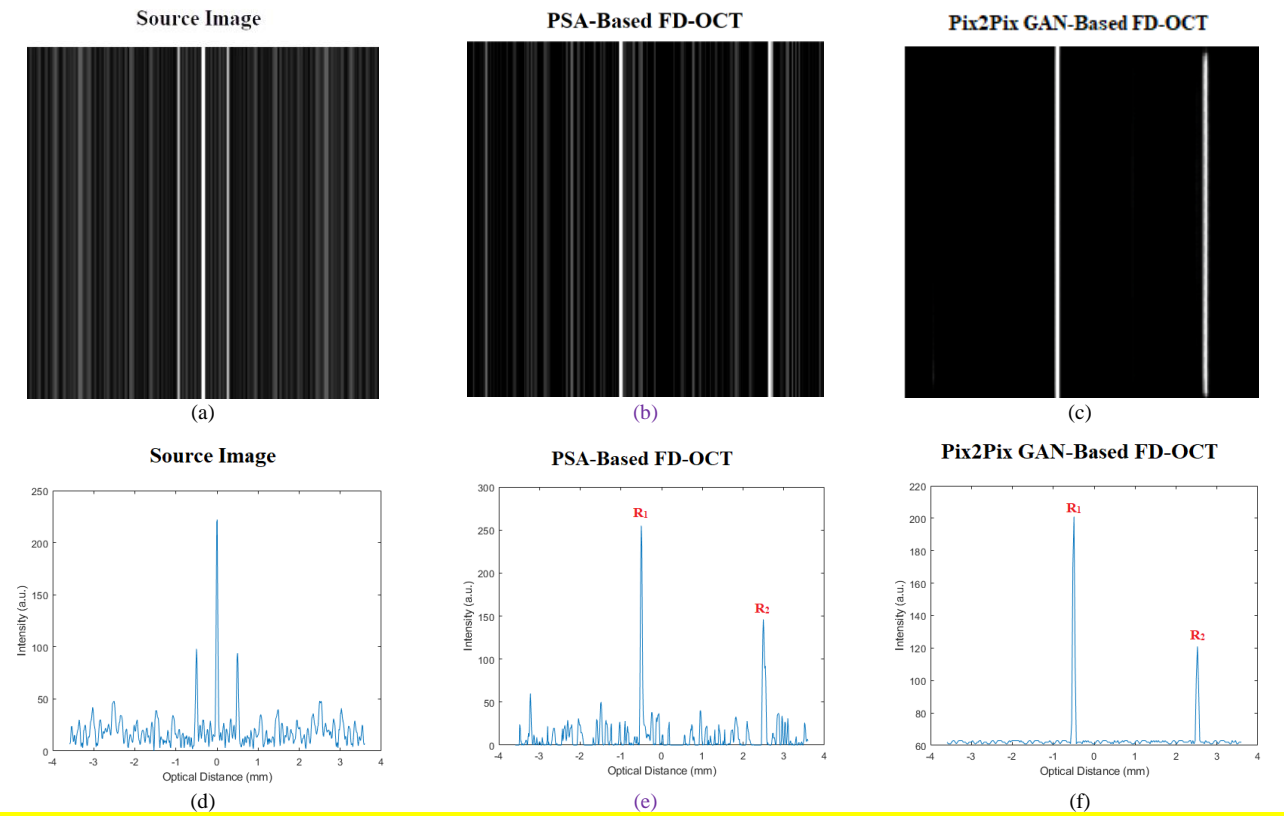


FIGURE 14. The 1D FD-OCT depth profiles and 2D images of the type D source images of SNR 0 dB, PSA-based FD-OCT image, and Pix2Pix GAN-based FD-OCT image.

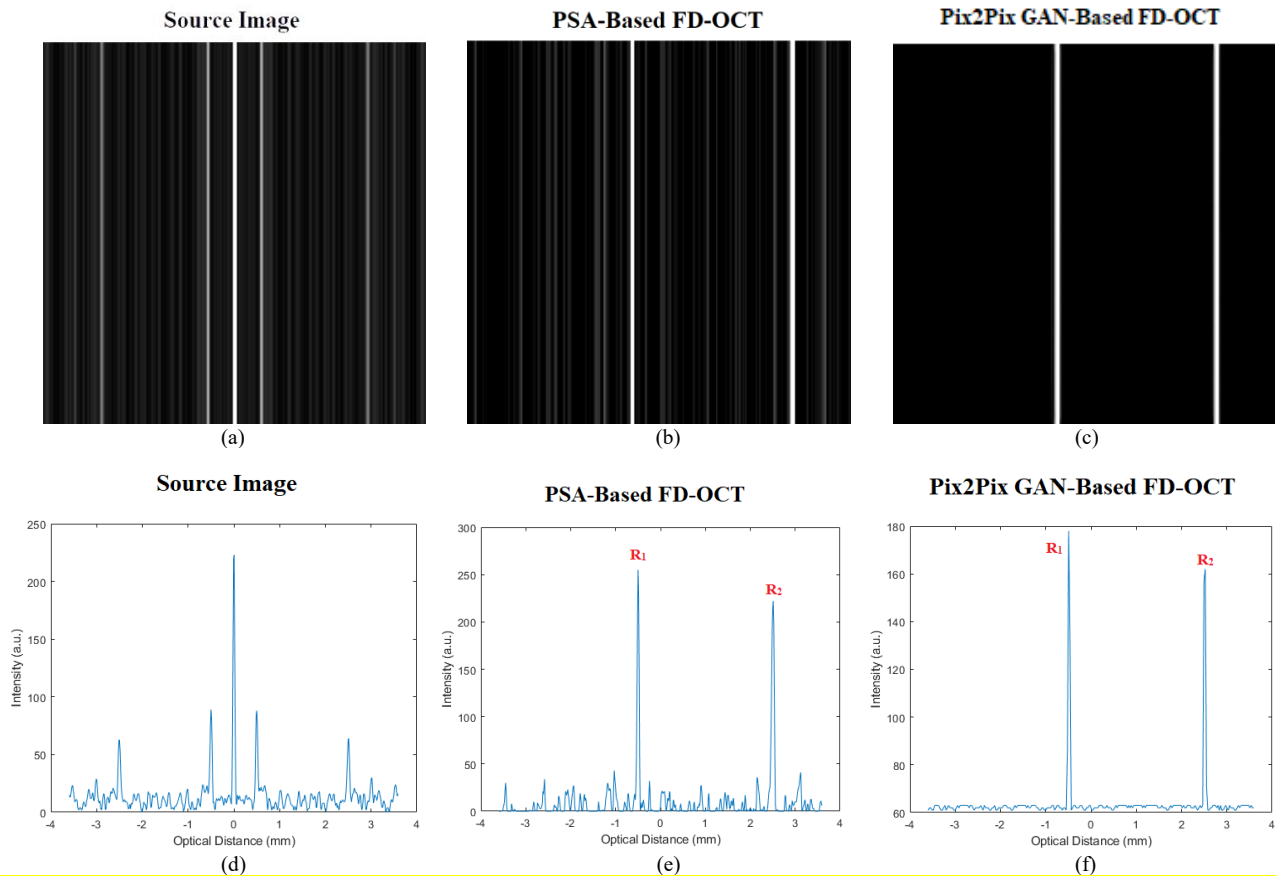


FIGURE 15. The 1D FD-OCT depth profiles and 2D images of the type D source images of SNR 3 dB, PSA-based FD-OCT image, and Pix2Pix GAN-based FD-OCT image.

TABLE V
FD-OCT OPTICAL DISTANCE COMPARISON

	Optical Distance (mm)	
	R_1	R_2
Type C 0 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228
Type C 3 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228

TABLE VI
FD-OCT OPTICAL DISTANCE COMPARISON

	Optical Distance (mm)	
	R_1	R_2
Type D 0 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228
Type D 3 dB SNR		
Translated Image	-0.4933	2.5228
Target Image	-0.4933	2.5228

Table V presents the optical distance of R_1 and R_2 for type C source image of SNR 0 dB (or 3 dB) reconstructing by using PSA-based FD-OCT and Pix2Pix GAN-based FD-OCT method, respectively. It can be observed that the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile reconstructed by the Pix2Pix GAN-based FD-OCT are the same as that of PSA-based FD-OCT.

Figures 14 and 15 depict the 1D FD-OCT depth profiles and 2D images of the type D source images of SNR 0 dB and 3 dB, PSA-based FD-OCT image and Pix2Pix GAN-based FD-OCT image, respectively. It has been shown that a lot of noises are accompanied with the source image. The PSA-based FD-OCT can remove the artifacts, but still much

noises exist except for the FD-OCT peaks. In addition, the proposed Pix2Pix GAN-based FD-OCT can eliminate the artifacts and effectively reduce the noise power. Similarly, the optical distance of R_1 and R_2 for type D source image of SNR 0 dB and 3 dB are respectively reconstructed by using PSA-based FD-OCT and Pix2Pix GAN-based FD-OCT method, as shown in Table VI. The values of R_1 and R_2 of the FD-OCT depth profile reconstructed from the Pix2Pix GAN-based FD-OCT and the PSA-based FD-OCT are the same.

Table VI shows the optical distance of R_1 and R_2 from type D both for translated image from Pix2Pix GAN and target images. For with 0 dB SNR, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image (-0.4933 and

2.5228), respectively. Furthermore, for type D with 0 dB SNR, the first peak (R_1) and second peak (R_2) of the FD-OCT depth profile from the translated image matched those of the target image, (-0.4933 and 2.5228), respectively.

IV. CONCLUSION

In this study, one Pix2Pix GAN-based FD-OCT is proposed to eliminate the artifacts of FD-OCT images, including conjugate, DC, and auto-correlation artifact. Four types of 2D conventional FD-OCT images (i.e., types A to D) are constructed to verify the reconstructing capability of model. The first two experiment results show that the proposed Pix2Pix GAN-based FD-OCT can reconstruct the 1D FD-OCT depth profile and 2D artifact-free FD-OCT images as well as the phase-shift algorithm-based FD-OCT system. Further, at the last experiment, additional Gaussian noise of SNR 0 dB and 3 dB are respectively added to the power spectral to generate noisier 2D conventional FD-OCT images (i.e., types C and D) for testing the model. From the results, it can be observed that the proposed model can effectively remove the artifacts and other noises in the resulted artifact-free FD-OCT image. In addition, the proposed Pix2Pix GAN-based FD-OCT has advantages of simple design and relatively low cost. Our future work will focus on how to apply this model to more complex FD-OCT depth profile structure.

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